

## GROUP ACTIONS ON TREES

### Group actions on sets

We recall the definition of a group action on a set:

**Definition 4.1.** Let  $G$  be a group and let  $X$  be a set. An *action* of  $G$  on  $X$  is a map

$$\rho : G \times X \rightarrow X$$

so that the following hold:

1.  $\rho(1, x) = x$  for all  $x \in X$ .
2.  $\rho(g_1 g_2, x) = \rho(g_1, \rho(g_2, x))$  for all  $g_1, g_2 \in G, x \in X$ .

We often write simply  $g(x)$  or  $gx$  instead of  $\rho(g, x)$ . Note that by property 2,  $g^{-1}(gx) = x$ . It follows that the map

$$x \mapsto gx$$

is 1-1 and onto map from  $X$  to  $X$ .

In fact we have an equivalent definition of a group action as a homomorphism:

$$\varphi : G \rightarrow \text{Symm}(X)$$

Indeed if  $\rho : G \times X \rightarrow X$  is an action define  $\varphi : G \rightarrow \text{Symm}(X)$  by  $\varphi(g)(x) = gx$ . Property 2 of the definition implies that  $\varphi(g_1 g_2) = \varphi(g_1) \varphi(g_2)$ . Conversely given a homomorphism  $\varphi$  we define  $\rho : G \times X \rightarrow X$  by  $\rho(g, x) = \varphi(g)(x)$ .

We often denote an action by  $G \curvearrowright X$ .

## Graphs

**Definition 4.2.** A *graph*  $\Gamma$  consists of a set of vertices  $V = V(\Gamma)$ , a set of edges  $E = E(\Gamma)$ , a map:

$$E \rightarrow V \times V, \quad e \mapsto (o(e), t(e))$$

and a map  $E \rightarrow E$ ,  $e \mapsto \bar{e}$  such that the following hold: for any  $e \in E$ ,  $\bar{\bar{e}} = e$ ,  $\bar{e} \neq e$  and  $o(\bar{e}) = t(e)$ ,  $t(\bar{e}) = o(e)$ .

The pair of edges  $\{e, \bar{e}\}$  is called *geometric edge*. Often when we define graphs we just give the vertices and the geometric edges of the graph. A choice of edges  $E^+ \subset E(\Gamma)$  such that for any  $e \in E(\Gamma)$  either  $e \in E^+$  or  $\bar{e} \in E^+$  is called an *orientation* of  $\Gamma$ .

A morphism between two graphs is a map that preserves the graph structure. More formally we have:

**Definition 4.3.** A *morphism*  $f$  from a graph  $\Gamma = (V(\Gamma), E(\Gamma))$  to graph  $\Delta = (V(\Delta), E(\Delta))$  is given by maps  $f_V : V(\Gamma) \rightarrow V(\Delta)$ ,  $f_E : E(\Gamma) \rightarrow E(\Delta)$  such that  $o(f(e)) = f(o(e))$ ,  $t(f(e)) = f(t(e))$ ,  $f(\bar{e}) = \overline{f(e)}$ . An automorphism of  $\Gamma$  is a morphism  $\Gamma \rightarrow \Gamma$  that is 1-1 and onto on the sets of edges and vertices. We denote by  $Aut(\Gamma)$  the group of automorphisms of  $\Gamma$ .

**Definition 4.4.** Let  $G = \langle S \rangle$  be a group generated by  $S$ . We define the *Cayley graph* of  $G$ ,  $\Gamma = \Gamma(S, G)$ , to be the graph with vertices  $V(\Gamma) = \{g : g \in G\}$  and oriented edges  $E^+(\Gamma) = \{(g, gs) : g \in G, s \in S\}$ . We define  $o(g, gs) = g$ ,  $t(g, gs) = gs$ .

More generally if  $S \subset G$ , where  $S$  is not necessarily a generating set we define the graph  $\Gamma(S, G)$  as before to be the graph with vertices  $\{g : g \in G\}$  and oriented edges  $\{(g, gs) : g \in G, s \in S\}$ .

**Definition 4.5.** A *path* in a graph  $\Gamma$  is a sequence of edges  $p = (e_1, \dots, e_n)$  such that  $o(e_i) = t(e_{i-1})$  for all  $i > 1$ . The vertices  $x = o(e_1)$ ,  $y = t(e_n)$  are the *origin* and the *end point* of the path respectively. We often say that  $p$  joins  $x, y$ . We define similarly infinite paths. We say that a path is *reduced* if  $e_i \neq \bar{e}_{i-1}$  for all  $i > 1$ . We say that a path  $(e_1, \dots, e_n)$  is a *circuit* if it is reduced, the vertices  $t(e_i)$  ( $i = 1, \dots, n$ ) are all distinct and  $t(e_n) = o(e_1)$ . We say that a graph is *connected* if any two vertices can be joined by a path. A *tree* is a connected graph with no circuits.

*Remark 4.1.* The Cayley graph of  $G$  is a connected graph. Conversely if  $\Gamma(S, G)$  is connected for some  $S \subset G$ , then  $S$  is a generating set of  $G$ .

*Remark 4.2.* A graph  $\Gamma$  is a tree if and only if for any two vertices of  $\Gamma$  there is a unique reduced path joining them (exercise).

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One may realize graphs as 1-dimensional CW-complexes: we start with a set of points (vertices) and glue edges to them; so if  $e = [0, 1]$  is an edge we glue 0 to  $o(e)$  and 1 to  $t(e)$ . The edges  $e, \bar{e}$  correspond geometrically to the same edge, and  $e, \bar{e}$  are thought of as the two possible orientations of this edge. We can equip a connected graph with a metric by identifying each edge with an interval of length 1 and defining the distance of two points to be the length of the shortest path joining them.

**Definition 4.6.** An *action* of a group  $G$  on a graph  $\Gamma$  is a homomorphism  $\rho : G \rightarrow \text{Aut}(\Gamma)$ .

If  $\rho : G \rightarrow \text{Aut}(\Gamma)$  is an action,  $g \in G$  and  $v \in V(\Gamma)$  then  $\rho(g)(v) \in V(\Gamma)$ . Usually we simplify the notation and we write  $gv$  rather than  $\rho(g)(v)$ . If  $G$  acts on  $\Gamma$  we write also  $G \curvearrowright \Gamma$ . If there is some  $v \in V(\Gamma)$  such that  $gv = v$  for all  $g \in G$  then we say that  $G$  fixes a vertex of  $\Gamma$ .

*Remark 4.3.* A group  $G = \langle S \rangle$  acts on its Cayley graph  $\Gamma(S, G)$  as follows: If  $g \in G$  and  $(v, vs)$  an edge of  $\Gamma(S, G)$  we define  $g \cdot (v, vs) = (gv, gvs)$ . We remark that this action is transitive on the set of vertices of  $\Gamma(S, G)$ .

### 4.3 Actions of free groups on Trees

**Theorem 4.1.** Let  $S$  be a subset of a group  $G$ , and let  $X = \Gamma(S, G)$ . The following are equivalent:

- i)  $X$  is a tree.
- ii)  $G$  is free with basis  $S$ .

*Proof.* ii)  $\implies$  i).

Assume that  $G$  is free with basis  $S$ . Every element of  $G$  can be represented by a reduced word on  $S$ ,  $s_1 \dots s_n$ . There is a path from 1 to  $s_1 \dots s_n$ :

$$p = ((1, s_1), (s_1, s_1 s_2), \dots, (s_1 s_2 \dots s_{n-1}, s_1 s_2 \dots s_{n-1} s_n))$$

so  $X$  is connected. In general a reduced path starting at 1 corresponds to a reduced word on  $S$ ,  $w$ . Since reduced words represent non trivial elements in  $G$  we have that  $w \neq 1$  in  $G$ , so there are no circuits starting at 1. However since the action of  $G$  is transitive on vertices we deduce that  $X$  has no circuits, hence it is a tree.

i)  $\implies$  ii)

Since  $X$  is connected there is a reduced path from 1 to any  $g \in G$ . Therefore any  $g \in G$  can be written as a word on  $S$ . It follows that  $S$  generates  $G$ . Let  $\varphi : F(S) \rightarrow G$

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be the onto homomorphism defined by  $\varphi(s) = s$  for all  $s \in S$ . Then if  $s_1 \dots s_n \in \ker \varphi$  ( $s_1 \dots s_n$  reduced word) we have that the path

$$p = ((1, s_1), (s_1, s_1 s_2), \dots, (s_1 s_2 \dots s_{n-1}, s_1 s_2 \dots s_{n-1} s_n))$$

is a reduced path in  $X$  from 1 to 1, which is impossible. We conclude that  $\varphi$  is 1-1, so  $G \cong F(S)$ . □

**Definition 4.7.** Let  $G$  be a group acting on a graph  $X$ . We say that  $G$  acts on  $X$  *without inversions* if for every  $g \in G$ ,  $e \in E(X)$  we have that  $ge \neq \bar{e}$ . We say that  $G$  acts *freely* on  $X$  if  $G$  acts on  $X$  without inversions and for any  $1 \neq g \in G$ ,  $v \in V(X)$ ,  $gv \neq v$ .

*Remark 4.4.* A group  $G = \langle S \rangle$  acts without inversions on the Cayley graph  $\Gamma(S, G)$ .

Note that if  $G$  acts on a graph  $\Gamma$  then it acts without inversions on the barycentric subdivision of  $\Gamma$  (i.e. the graph obtained by subdividing each edge of  $\Gamma$  in two edges).

**Definition 4.8.** Let  $G$  be a group acting without inversions on a graph  $X$ . We define the *quotient graph* of the action  $X/G$  as follows: If  $v \in V(X)$ ,  $e \in E(X)$  we set

$$[v] = \{gv : g \in G\}, \quad [e] = \{ge : g \in G\}$$

The vertices and edges of the quotient graph are given by

$$V(X/G) = \{[v] : v \in V(X)\}, \quad E(X/G) = \{[e] : e \in E(X)\}$$

and  $o([e]) = [o(e)]$ ,  $t([e]) = [t(e)]$ ,  $\overline{[e]} = [\bar{e}]$ .

We remark that since the action is without inversions  $[\bar{e}] \neq [e]$ . There is an obvious graph morphism

$$p : X \rightarrow X/G, \text{ given by } p(v) = [v], \quad p(e) = [e], \quad v \in V(X), \quad e \in E(X)$$

**Theorem 4.2.** *If a group  $G$  acts freely on a tree  $T$  then  $G$  is free.*

*Proof.*

**Lemma 4.1.** *There is a tree  $X \subset T$  such that  $X$  contains exactly one vertex from each orbit of the action.*

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*Proof.* Let  $X$  be a maximal subtree of  $T$  such that  $X$  contains at most one vertex from each orbit. Clearly such a tree exists by Zorn's lemma. Suppose that  $X$  does not intersect all orbits of vertices. Let  $v$  be a vertex of minimal distance from  $X$  such that  $X$  does not meet its orbit. If  $d(v, X) = 1$  then we can add  $v$  to  $X$  contradicting its maximality. Otherwise if  $p$  is a reduced path from  $v$  to  $X$  and  $v'$  is the first vertex of  $p$  then  $gv' \in X$  for some  $g \in G$ . But then  $d(gv, X) = 1$  so we can add  $gv$  to  $X$ , a contradiction. We conclude that  $X$  contains exactly one vertex from each orbit.  $\square$

Let  $X$  be as in the lemma. We choose an orientation of the edges of  $T$ ,  $E^+ \subset E(T)$  such that  $E^+$  is invariant under the action (that is  $e \in E^+ \Rightarrow ge \in E^+$ , for all  $g \in G$ ). This is possible since the action is without inversions.

Consider the set

$$S = \{g \in G : \text{there is an edge } e \in E^+ \text{ with } o(e) \in X, t(e) \in g(X)\}$$

We will show that  $G$  is a free group with basis  $S$ .

Clearly if  $g_1 \neq g_2$  then  $g_1X \cap g_2X = \emptyset$ . Let  $T'$  be the tree that we obtain from  $T$  by contracting each translate  $gX$  to a point. Clearly  $G$  acts on  $T'$ . We will show that  $T' \simeq \Gamma(S, G)$ . We remark that  $V(T') = \{gX : g \in G\}$ ,  $E(T') = \{e \in T, e \notin GX\}$ . The orientation of  $T$  induces an orientation of the edges of  $T'$  which we denote still by  $E^+$ . We define now  $\varphi : T' \rightarrow \Gamma(S, G)$  as follows:  $\varphi(gX) = g$ . If  $e \in E^+$  is an edge joining  $g_1X$  to  $g_2X$  then  $s = g_1^{-1}g_2 \in S$  since  $g_1^{-1}e$  joins  $X$  to  $g_1^{-1}g_2X$ . So we define  $\varphi(e) = (g_1, g_1s) = (g_1, g_2)$ . It is clear that  $\varphi$  is 1-1 and onto on the set of vertices  $V(T')$ . It is also onto on oriented edges: if  $(g, gs)$  is an oriented edge of  $\Gamma(S, G)$  then there is an oriented edge  $e \in T'$  joining  $X$  to  $sX$  and  $\varphi(e) = (g, gs)$ . We note that if

$$\varphi(e_1) = \varphi(e_2) = (g, gs)$$

then  $e_1, e_2$  are both oriented edges joining  $gX$  to  $gsX$ . But  $T'$  is a tree so  $e_1 = e_2$  and  $\varphi$  is 1-1.

It follows that  $\Gamma(S, G)$  is a tree, hence  $G$  is free (theorem 4.1).  $\square$

**Corollary 4.1.** *Subgroups of free groups are free.*

*Proof.* Let  $F(S)$  be a free group with basis  $S$ . Then  $F(S)$  acts freely on its Cayley graph  $\Gamma(S, G)$  which is a tree. So any subgroup  $H$  of  $F(S)$  acts freely on  $\Gamma(S, G)$  hence by the previous theorem  $H$  is free.  $\square$