

## Meromorphic functions

Recall that on a closed (i.e. compact) surface  $X$ , any continuous real function achieves its maximum at some point  $x$ . Let  $X$  be a Riemann surface and  $f$  a holomorphic function, then  $|f|$  is continuous, so assume it has its maximum at  $x$ . Since  $f\varphi_U^{-1}$  is a holomorphic function on an open set in  $\mathbf{C}$  containing  $\varphi_U(x)$ , and has its maximum modulus there, the maximum modulus principle says that  $f$  must be a constant  $c$  in a neighbourhood of  $x$ . If  $X$  is connected, it follows that  $f = c$  everywhere.

Though there are no holomorphic functions, there do exist meromorphic functions:

**Definition 10** A *meromorphic function*  $f$  on a Riemann surface  $X$  is a holomorphic map to the Riemann sphere  $S = \mathbf{C} \cup \{\infty\}$ .

This means that if we remove  $f^{-1}(\infty)$ , then  $f$  is just a holomorphic function  $F$  with values in  $\mathbf{C}$ . If  $f(x) = \infty$ , and  $U$  is a coordinate neighbourhood of  $x$ , then using the coordinate  $z'$ ,  $f\varphi_U^{-1}$  is holomorphic. But  $\tilde{z} = 1/z$  if  $z \neq 0$  which means that  $(F \circ \varphi_U^{-1})^{-1}$  is holomorphic. Since it also vanishes,

$$F \circ \varphi_U^{-1} = \frac{a_0}{z^m} + \dots$$

which is usually what we mean by a meromorphic function.

**Example:** A rational function

$$f(z) = \frac{p(z)}{q(z)}$$

where  $p$  and  $q$  are polynomials is a meromorphic function on the Riemann sphere  $S$ .

The definition above is a geometrical one – a map from one surface to another. On the other hand, if we think of it as a function with singularities, we can add and multiply – meromorphic functions form a field – which is the algebraic approach. These two viewpoints can be very valuable. The second one allows us to manipulate with ease: here is an example using the algebraic approach of a meromorphic function on the torus in Example 2.

Define

$$\wp(z) = \frac{1}{z^2} + \sum_{\omega \neq 0} \left( \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right)$$

where the sum is over all non-zero  $\omega = m\omega_1 + n\omega_2$ . Since for  $2|z| < |\omega|$

$$\left| \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right| \leq 10 \frac{|z|}{|\omega|^3}$$

this converges uniformly on compact sets so long as

$$\sum_{\omega \neq 0} \frac{1}{|\omega|^3} < \infty.$$

But  $m\omega_1 + n\omega_2$  is never zero if  $m, n$  are real so we have an estimate

$$|m\omega_1 + n\omega_2| \geq k\sqrt{m^2 + n^2}$$

so by the integral test we have convergence. Because the sum is essentially over all equivalence classes

$$\wp(z + m\omega_1 + n\omega_2) = \wp(z)$$

so that this is a meromorphic function on the surface  $X$ . It is called the Weierstrass P-function.

It is a quite deep result that any closed Riemann surface has meromorphic functions. We are now going to consider them in more detail from the geometric point of view. So let

$$f : X \rightarrow S$$

be a meromorphic function. If the inverse image of  $a \in S$  is infinite, then it has a limit point  $x$  by compactness of  $X$ . In a holomorphic coordinate around  $x$  with  $z(x) = 0$ ,  $f$  is defined by a holomorphic function  $F = f\varphi_U^{-1}$  with a sequence of points  $z_n \rightarrow 0$  for which  $F(z_n) - a = 0$ . But the zeros of a holomorphic function are isolated, so we deduce that  $f^{-1}(a)$  is a finite set. By a similar argument the points at which the derivative  $F'$  vanishes are finite in number (check using the chain rule that this condition is independent of the holomorphic coordinate). The points of  $X$  at which  $F' = 0$  are called *ramification points*.

The word “ramification” means “branching”. We defined it here analytically through the vanishing of a derivative, but we need to understand its geometric meaning. The simplest example is the map  $f(z) = z^2$  from  $\mathbf{C}$  to  $\mathbf{C}$  so that  $z = 0$  is a ramification point. In a neighbourhood of zero there is no single-valued inverse to  $f$  – in complex analysis we say that the square root  $\sqrt{w}$  has two branches. The origin has one inverse image, any other point has two, but we can’t distinguish between them because as  $w$  goes around a circle surrounding the origin one square root extends continuously to its negative. A similar phenomenon holds for the map  $z \mapsto z^n$ .

In fact, if  $f$  is any holomorphic function on  $\mathbf{C}$  such that  $f'(0) = 0$ , we have

$$f(z) = z^n(a_0 + a_1z + \dots)$$

with  $a_0 \neq 0$ . We can expand

$$(a_0 + a_1z + \dots)^{1/n} = a_0^{1/n}(1 + b_1z + \dots)$$

in a power series and define

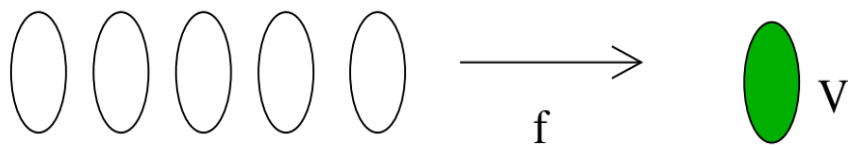
$$w = a_0^{1/n}z(1 + b_1z + \dots).$$

Since  $w'(0) \neq 0$  we can think of  $w$  as a new coordinate and then the map becomes simply

$$w \mapsto w^n.$$

So, thinking geometrically of  $\mathbf{C}$  as a Riemann surface where we are allowed to change coordinates, a ramification point is a map of the form  $z \mapsto z^n$ . The integer  $n$  is its multiplicity.

So now return to a holomorphic map  $f : X \rightarrow S$ . There are two types of points: if  $F'(x) \neq 0$ , then the inverse function theorem tells us that  $f$  maps a neighbourhood  $U_x$  of  $x \in X$  homeomorphically to a neighbourhood  $V_x$  of  $f(x) \in S$ . Define  $V$  to be the intersection of the  $V_x$  as  $x$  runs over the finite set of points such that  $f(x) = a$ , then  $f^{-1}V$  consists of a finite number  $d$  of open sets, each mapped homeomorphically onto  $V$  by  $f$ :



If  $F'(x) = 0$  then the map looks like  $w \mapsto w^n$ . The inverse image of  $f(x) = a$  is then a disjoint union of open sets, on some of which the map might map homeomorphically to a disc, but where on at least one (containing  $x$ ) the map is of the form  $z \mapsto z^n$ .

Removing the finite number of images under  $f$  of ramification points we get a sphere minus a finite number of points. This is connected. The number of points in the inverse image of a point in this punctured sphere is integer-valued and continuous, hence constant. It is called the *degree*  $d$  of the meromorphic function  $f$ .

With this we can determine the Euler characteristic of the Riemann surface  $S$  from the meromorphic function:

**Theorem 3.2** (Riemann-Hurwitz) *Let  $f : X \rightarrow S$  be a meromorphic function of degree  $d$  on a closed connected Riemann surface  $X$ , and suppose it has ramification points  $x_1, \dots, x_n$  where the local form of  $f(x) - f(x_k)$  is a holomorphic function with a zero of multiplicity  $m_k$ . Then*

$$\chi(X) = 2d - \sum_{k=1}^n (m_k - 1)$$

**Proof:** The idea is to take a triangulation of the sphere  $S$  such that the image of the ramification points are vertices. This is straightforward. Now take a finite subcovering of  $S$  by open sets of the form  $V$  above where the map  $f$  is either a homeomorphism or of the form  $z \mapsto z^m$ . Subdivide the triangulation into smaller triangles such that each one is contained in one of the sets  $V$ . Then the inverse images of the vertices and edges of  $S$  form the vertices and edges of a triangulation of  $X$ .

If the triangulation of  $S$  has  $V$  vertices,  $E$  edges and  $F$  faces, then clearly the triangulation of  $X$  has  $dE$  edges and  $dF$  faces. It has fewer vertices, though — in a neighbourhood where  $f$  is of the form  $w \mapsto w^m$  the origin is a single vertex instead of  $m$  of them. For each ramification point of order  $m_k$  we therefore have one vertex instead of  $m_k$ . The count of vertices is therefore

$$dV - \sum_{k=1}^n (m_k - 1).$$

Thus

$$\chi(X) = d(V - E + F) - \sum_{k=1}^n (m_k - 1) = 2d - \sum_{k=1}^n (m_k - 1)$$

using  $\chi(S) = 2$ . □

Clearly the argument works just the same for a holomorphic map  $f : X \rightarrow Y$  and then

$$\chi(X) = d\chi(Y) - \sum_{k=1}^n (m_k - 1).$$

As an example, consider the Weierstrass P-function  $\wp : T \rightarrow S$ :

$$\wp(z) = \frac{1}{z^2} + \sum_{\omega \neq 0} \left( \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right).$$

We constructed this by adding and multiplying but we want to know geometrically what the map from a torus to a sphere looks like.

Firstly,  $\wp$  has degree 2 since  $\wp(z) = \infty$  only at  $z = 0$  and there it has multiplicity 2. The multiplicity of a ramification point cannot be bigger than this because then it will look like  $z \mapsto z^n$  and a non-zero point will have at least  $n$  inverse images. Thus the only possible value at the ramification points here is  $m_k = 2$ . The Riemann-Hurwitz formula gives:

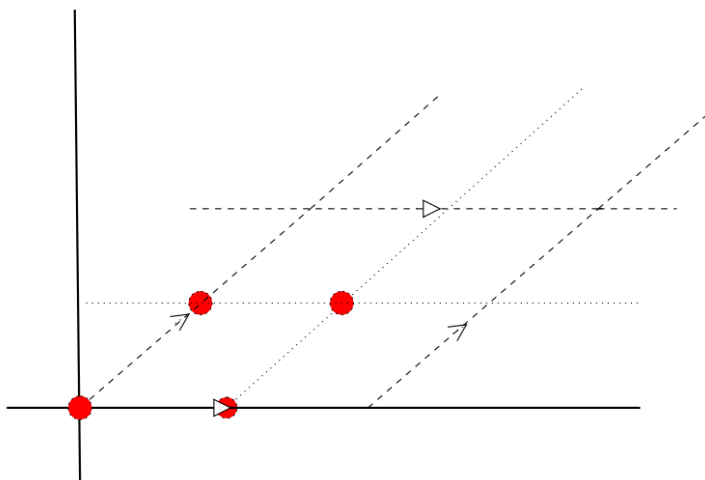
$$0 = 4 - n$$

so there must be exactly 4 ramification points. In fact we can see them directly, because  $\wp(z)$  is an even function, so the derivative vanishes if  $-z = z$ . Of course at  $z = 0$ ,  $\wp(z) = \infty$  so we should use the other coordinate on  $S$ :  $1/\wp$  has a zero of multiplicity 2 at  $z = 0$ . To find the other points recall that  $\wp$  is doubly periodic so  $\wp'$  vanishes where

$$z = -z + m\omega_1 + n\omega_2$$

for some integers  $m, n$ , and these are the four points

$$0, \omega_1/2, \omega_2/2, (\omega_1 + \omega_2)/2 :$$



The geometric Riemann-Hurwitz formula has helped us here in the analysis by showing us that the only zeros of  $\wp'$  are the obvious ones.

## A new look at the torus

Viewing the torus the points  $z \in \mathbf{C}$  moduli  $z \sim z + m\omega_1 + n\omega_2$  is not the only way to see it as a Riemann surface. We shall now look at it, via the P-function, in a manner which will show us how to construct other Riemann surfaces.

So consider again the P-function, thought of as a degree 2 map  $\wp : T \rightarrow S$ . It has 4 ramification points, whose images are  $\infty$  and the three finite points  $e_1, e_2, e_3$  where

$$e_1 = \wp(\omega_1/2), \quad e_2 = \wp(\omega_2/2), \quad e_3 = \wp((\omega_1 + \omega_2)/2).$$

So its derivative  $\wp'(z)$  vanishes only at three points, each with multiplicity 1. At each of these points  $\wp$  has the local form

$$\wp(z) = e_1 + (z - \omega_1/2)^2(a_0 + \dots)$$

and so

$$\frac{1}{\wp'(z)^2}(\wp(z) - e_1)(\wp(z) - e_2)(\wp(z) - e_3)$$

is a well-defined holomorphic function on  $T$  away from  $z = 0$ . But  $\wp(z) \sim z^{-2}$  near  $z = 0$ , and so  $\wp'(z) \sim -2z^{-3}$  so this function is finite at  $z = 0$  with value  $1/4$ . By the maximum argument, since  $T$  is compact, the function is a constant, namely  $1/4$ , and

$$\wp'(z)^2 = 4(\wp(z) - e_1)(\wp(z) - e_2)(\wp(z) - e_3) \quad (1)$$

When we introduced the torus as Example 2, then  $z$  or  $z + c$  were local holomorphic coordinates. But now if  $z \neq 0$ ,  $\wp(z)$  is finite and if  $\wp'(z) \neq 0$  this gives us another local coordinate. From (1),  $2\wp'\wp'' = 4q'(\wp)\wp'$  where  $q(x) = (x - e_1)(x - e_2)(x - e_3)$  and so

$$\wp''(e_i) = \frac{1}{2}q'(e_i)$$

which is non-zero since  $e_1, e_2, e_3$  are distinct. This means that  $\wp'(z)$  is a local coordinate near  $z = \omega_i/2$ .

Finally, near  $z = 0$ ,

$$\left(\frac{1}{\wp(z)}\right)' = -\frac{\wp'(z)}{\wp^2(z)} = 2z + \dots$$

which means that  $\wp'(z)/\wp^2(z)$  is a coordinate near  $z = 0$ .

We now see the torus rather differently. Consider

$$C = \{(x, y) \in \mathbf{C}^2 : y^2 = q(x) = 4(x - e_1)(x - e_2)(x - e_3)\}.$$

Now  $\wp : T \rightarrow S$  is surjective (otherwise the degree would be zero!), so  $\wp(z)$  takes every value in  $\mathbf{C} = S \setminus \{\infty\}$ . Moreover, since  $\wp(-z) = \wp(z)$ , we have  $\wp'(-z) = -\wp'(z)$  hence for each value of  $x$  there is a value of  $z$  for which  $\wp'(z)$  takes each of the two values of  $y$ . Thus  $(x, y) = (\wp(z), \wp'(z))$  defines a homeomorphism from  $T \setminus \{0\}$  to  $C$ .

So we have  $T = C \cup \{\infty\}$  with local coordinates:

- $x$  near  $a$  if  $q(a) \neq 0$
- $y$  near  $a$  if  $q(a) = 0$
- $y/x^2$  near  $\infty$

Now generalize this to the case

$$C = \{(x, y) \in \mathbf{C}^2 : y^2 = q(x) = 4(x - e_1)(x - e_2) \dots (x - e_n)\}$$

where the  $e_i$  are distinct. As above we define a local coordinate  $x$  near  $a$  if  $q(a) \neq 0$ . Then

$$y = \pm \exp \left[ \frac{1}{2} \int_c^x \frac{q'(z)}{q(z)} dz \right]$$

defines  $y$  as a locally invertible holomorphic function of  $x$ .

Near  $x = e_i$ ,  $q'(x) \neq 0$  so by the inverse function theorem  $x = f(q(x))$  and  $x = f(y^2)$  is holomorphic, so  $y$  is a local coordinate.

Near  $x = \infty$  put  $z = 1/x$  and if  $n = 2m$ ,  $w = y/x^m$  then

$$w^2 = (1 - e_1z)(1 - e_2z) \dots (1 - e_{2m}z).$$

Since  $z = 0$  is not a root of the polynomial, this is like the first case above and  $z$  is a coordinate: we have then defined a Riemann surface structure on

$$X = C \cup \{\infty, -\infty\}$$

where the two extra points are given by  $(w = \pm 1, z = 0)$ .

If  $n = 2m + 1$ , put  $w = y/x^{m+1}$  and then

$$w^2 = z(1 - ze_1) \dots (1 - ze_{2m+1})$$

and now since  $w = 0$  is a root, we use  $w$  as a coordinate and define a Riemann surface structure on

$$X = C \cup \{\infty\}$$

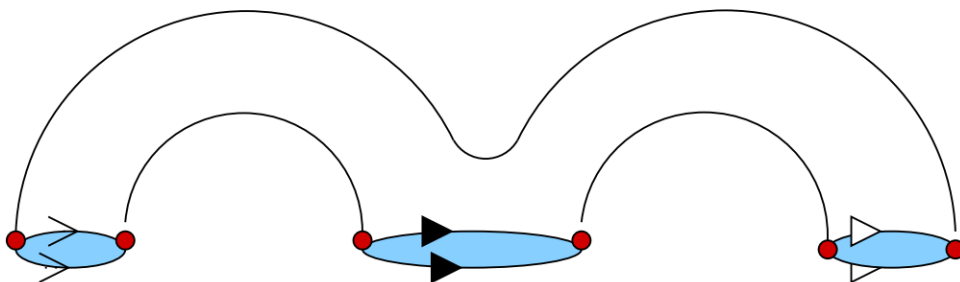
where the single extra point is given by  $(w = 0, z = 0)$ .

The Riemann-Hurwitz formula enables us to calculate the Euler characteristic of  $X$ . We can use  $x$  as a meromorphic function.

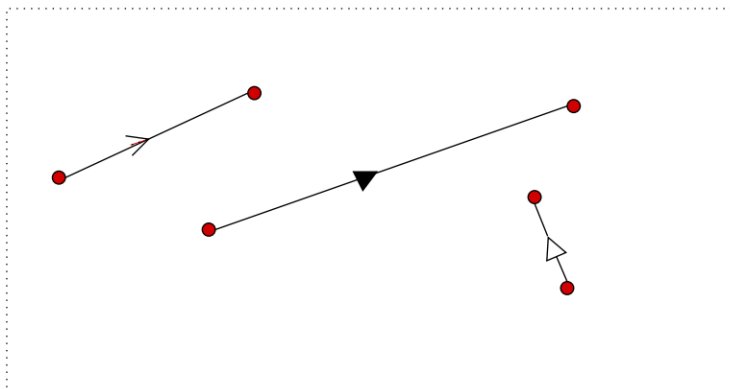
Firstly, given  $x$ ,  $y^2 = q(x)$  has two solutions in general so the degree of the map is two. The ramification points are where  $x' = 0$  but since  $x$  is a coordinate where

$q(x) \neq 0$  this can only occur at  $x = e_i$  or  $x = \infty$ . At  $x = e_i$ ,  $y$  is a coordinate and  $y = 0$ . Since  $x = f(y^2)$ , we see that  $x' = 0$ . Because the map is of degree two the multiplicity can only be two. At infinity we have a ramification point if  $n$  is odd and not if  $n$  is even. Thus, applying Riemann-Hurwitz  $\chi(X) = 4 - n$  if  $n$  is even and  $4 - (n + 1)$  if  $n$  is odd.

This type of Riemann surface is called *hyperelliptic*. Since the two values of  $y = \sqrt{q(x)}$  only differ by a sign, we can think of  $(y, x) \mapsto (-y, x)$  as being a holomorphic homeomorphism from  $X$  to  $X$ , and then  $x$  is a coordinate on the space of orbits. Topologically we can cut the surface in two – an “upper” and “lower” half – and identify on the points on the boundary to get a sphere:



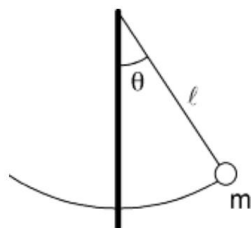
It is common also to view this downstairs on the Riemann sphere and insert cuts between pairs of zeros of the polynomial  $p(z)$ :



Hyperelliptic Riemann surfaces occur in a number of dynamical problems where one needs to integrate

$$\int \frac{du}{\sqrt{(u - e_1)(u - e_2) \dots (u - e_n)}}.$$

The simplest example is the pendulum:



$$\theta'' = -(g/\ell) \sin \theta$$

which integrates once to

$$\theta'^2 = 2(g/\ell) \cos \theta + c.$$

Substituting  $v = e^{i\theta}$  we get

$$v' = i\sqrt{2(g/\ell)(v^3 + v) + cv^2}.$$

By changing variables this can be brought into this form

$$2cdt = \frac{dx}{\sqrt{(x - e_1)(x - e_2)(x - e_3)}}$$

which can be solved with  $x = \wp(ct)$ . So time becomes (the real part of) the parameter  $z$  on  $\mathbf{C}$ . In the torus this is a circle, so (no surprise here!) the solutions to the pendulum equation are periodic.

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