

# Combinatorial Nullstellensatz

Let  $\mathbb{F}$  be a field. It is an elementary fact that if  $f \in \mathbb{F}[x]$  has degree  $t$ , and  $S \subseteq \mathbb{F}$  has  $|S| > t$  then there is  $s \in S$  with  $f(s) \neq 0$ .

**Theorem 31** (Combinatorial Nullstellensatz). *Let  $\mathbb{F}$  be a field and let  $f \in \mathbb{F}[x_1, \dots, x_n]$  be a polynomial of degree  $t$ . Suppose that the coefficient of  $\prod_{i=1}^n x_i^{t_i}$  in  $f$  is nonzero, where  $t_1 + \dots + t_n = t$ . If  $S_1, \dots, S_n$  are subsets of  $\mathbb{F}$  with  $|S_i| \geq t_i + 1$  for each  $i$  then there is  $(s_1, \dots, s_n) \in S_1 \times \dots \times S_n$  such that  $f(s_1, \dots, s_n) \neq 0$ .*

*Proof.* We argue by induction on  $t = \deg(f)$ . If  $t = 1$  then the result is trivial. So suppose  $t > 1$ , and  $f(x) = 0$  for all  $x \in S_1 \times \dots \times S_n$ . Without loss of generality,  $t_1 > 0$ . Choose  $s_1 \in S_1$  and write  $f$  as

$$f(x) = (x_1 - s_1)q(x) + r(x),$$

where  $\deg(q) = t - 1$ , and  $q$  has a monomial  $x_1^{t_1-1}x_2^{t_2} \dots x_n^{t_n}$  with nonzero coefficient, and  $r(x) \in \mathbb{F}[x_2, \dots, x_n]$ .

For any  $(s_2, \dots, s_n) \in S_2 \times \dots \times S_n$  we have

$$f(s_1, \dots, s_n) = r(s_2, \dots, s_n)$$

and so  $f$  vanishes on  $S_2 \times \dots \times S_n$ . Thus for any  $s' = (s'_1, \dots, s'_n) \in S_1 \times \dots \times S_n$ , we have

$$f(s') = (s'_1 - s_1)q(s') + r(s') = (s'_1 - s_1)q(s').$$

In particular, as  $s'_1 - s_1 \neq 0$  for  $s'_1 \in S_1 \setminus s_1$ , we see that  $q$  vanishes on  $(S_1 \setminus s_1) \times S_2 \times \dots \times S_n$ . But this contradicts the inductive hypothesis. ■

## COMBINATORICS

Let us see a couple of applications.

Given sets  $A, B$  in an abelian group, we write

$$A + B = \{a + b : a \in A, b \in B\}.$$

If  $A, B \subseteq \mathbb{Z}$  then  $|A + B| \geq |A| + |B| - 1$  (exercise). The same thing happens in  $\mathbb{Z}_p$ .

**Theorem 32** (Cauchy-Davenport). *If  $p$  is a prime and  $A, B \subseteq \mathbb{Z}_p$  then*

$$|A + B| \geq \min\{p, |A| + |B| - 1\}$$

*Proof.* Work over  $\mathbb{Z}_p$ . Suppose first that  $|A| + |B| > p$ . Then for every  $x \in \mathbb{Z}_p$ , the sets  $A, x - B$  must have a common element, and so there is a solution to  $x + y = c$  with  $x \in A, y \in B$ .

Now suppose  $|A| + |B| \leq p$  and  $|A + B| \leq |A| + |B| - 2$ . Pick  $C \supset A + B$  with  $|C| = |A| + |B| - 2$ , and set

$$f(x, y) = \prod_{c \in C} (x + y - c)$$

This has degree  $|A| + |B| - 2$ , and the coefficient of  $x^{|A|-1}y^{|B|-1}$  is

$$\binom{|A| + |B| - 2}{|A| - 1},$$

which is nonzero in  $\mathbb{Z}_p$ .

But now, applying the Combinatorial Nullstellensatz with  $S_x = A$  and  $S_y = B$ , we see that there must be  $(a, b) \in A \times B$  with  $f(a, b) \neq 0$ , which implies that  $a + b \notin C$ , a contradiction. ■

How many hyperplanes we need to cover all vertices of a cube in  $\mathbb{R}^n$ ? This is trivial: two hyperplanes will do. But what if we want to cover *all but one* vertex, and leave the last vertex uncovered? It is easy to show that  $n$  planes suffice. It turns out that this is the minimum!

**Theorem 33.** *Let  $H_1, \dots, H_m$  be a family of  $m$  hyperplanes in  $\mathbb{R}^n$  whose union contains exactly  $2^n - 1$  vertices from  $\{0, 1\}^n$ . Then  $m \geq n$ .*

## COMBINATORICS

*Proof.* We may assume the uncovered vertex is  $\mathbf{0}$ . Work over the reals. Each hyperplane  $H_i$  is defined by an equation of form

$$\langle \mathbf{x}, \mathbf{a}_i \rangle = b_i$$

Note that  $b_i \neq 0$  as  $\mathbf{0} \notin H_i$ . So rescaling  $\mathbf{a}_i$  and  $b_i$ , we may assume  $H_i$  is given by

$$\langle \mathbf{x}, \mathbf{a}_i \rangle = 1$$

Define

$$P(\mathbf{x}) = (-1)^{m+n} \prod_{j=1}^n (x_j - 1) - \prod_{i=1}^m (\langle \mathbf{x}, \mathbf{a}_i \rangle - 1)$$

If  $m < n$  then the coefficient of  $x_1 \dots x_n$  is nonzero, so by the Combinatorial Nullstellensatz there is  $\mathbf{s} \in \{0, 1\} \times \dots \times \{0, 1\}$  such that  $P(\mathbf{s}) \neq 0$ . But if  $\mathbf{s} \neq \mathbf{0}$  then both parts of  $P(\mathbf{x})$  are 0; while if  $\mathbf{s} = \mathbf{0}$  then we again have  $P(\mathbf{s}) = 0$ . This gives a contradiction. ■

Let's prove a variant of Cauchy-Davenport. For sets  $A, B$  define

$$A \hat{+} B = \{a + b : a \in A, b \in B, a \neq b\}$$

Note that if  $A = B = \{0, \dots, a - 1\}$  then  $A \hat{+} B = \{1, \dots, 2a - 3\}$ , so  $|A \hat{+} B| = |A| + |B| - 3$ .