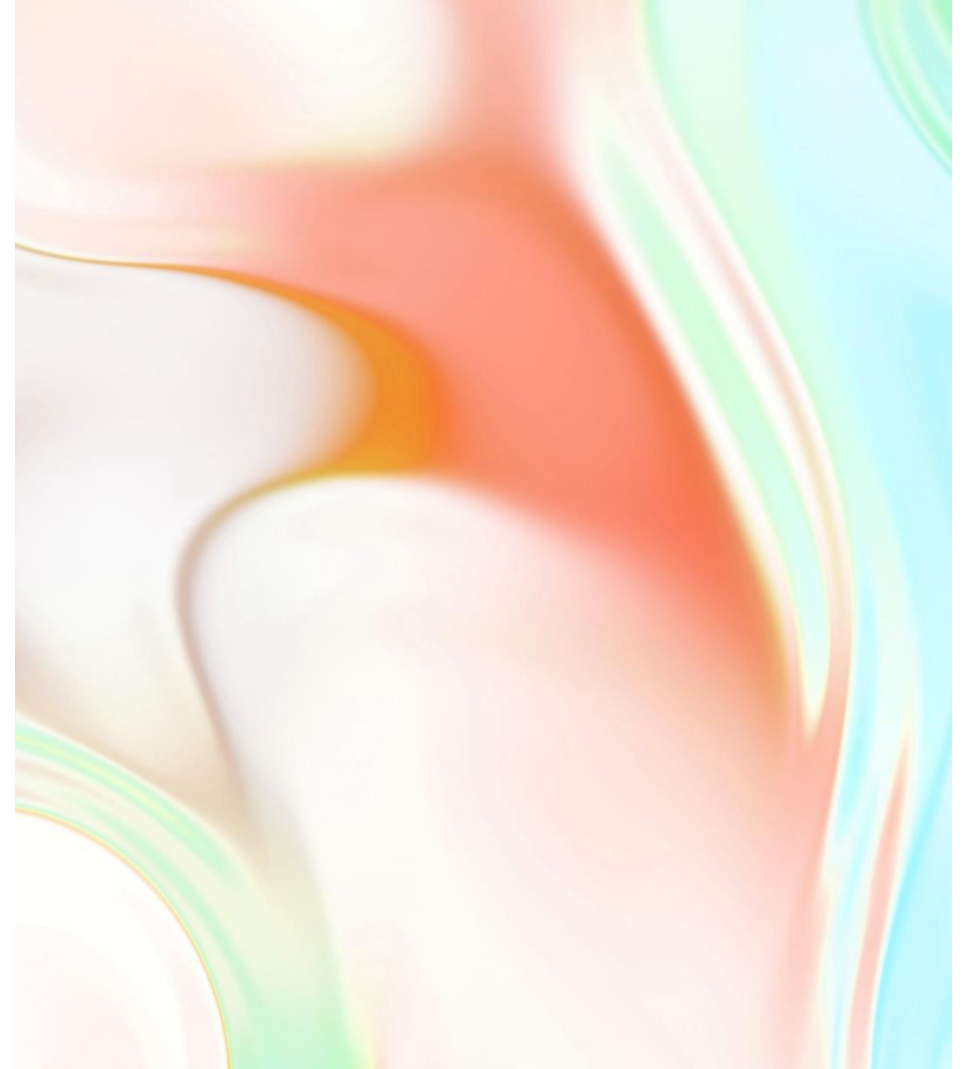


**Discrete Mathematics**  
**Lecture 11**  
**Trees**

**Lecturer: Kahenya, N.P**



# Introduction to lecture 11

- ❑ This lecture builds up on the lecture on introduction to graph theory.
- ❑ Trees are connected graphs and have many applications in computer science, communication, sociology, and many other fields.
- ❑ The idea of trees in a computer can be seen in the file system that consists of directories, subdirectories, and files.
- ❑ The administrative structure of an institution, family lineage etc. adopts the structure of a tree.
- ❑ Trees are used to model the process of solving mathematical expressions.



# References for further reading

These lecture notes have been derived from the following sources (Lipschutz & Lipson, 2007; Rosen, 2012; Wilson, 1998).



## Intended Learning Outcomes

Be

At the end of this lecture, you will be able to;

Define

Define terms used in trees.

Apply

Apply the concepts in solving problems involving trees.



## Definition of Terms

A graph  $G (V,E)$  is connected if there is a path from any vertex to any other vertex in the graph, otherwise it is disconnected.



A cycle is a path in a graph that has the following properties:

- 1) It includes at least one edge.
- 2) There are no repeated edges.
- 3) The first and last vertices coincide i.e., are the same but there are no other repeated vertices.



# Tree

A tree is a connected graph with no cycles.

# Properties of a tree

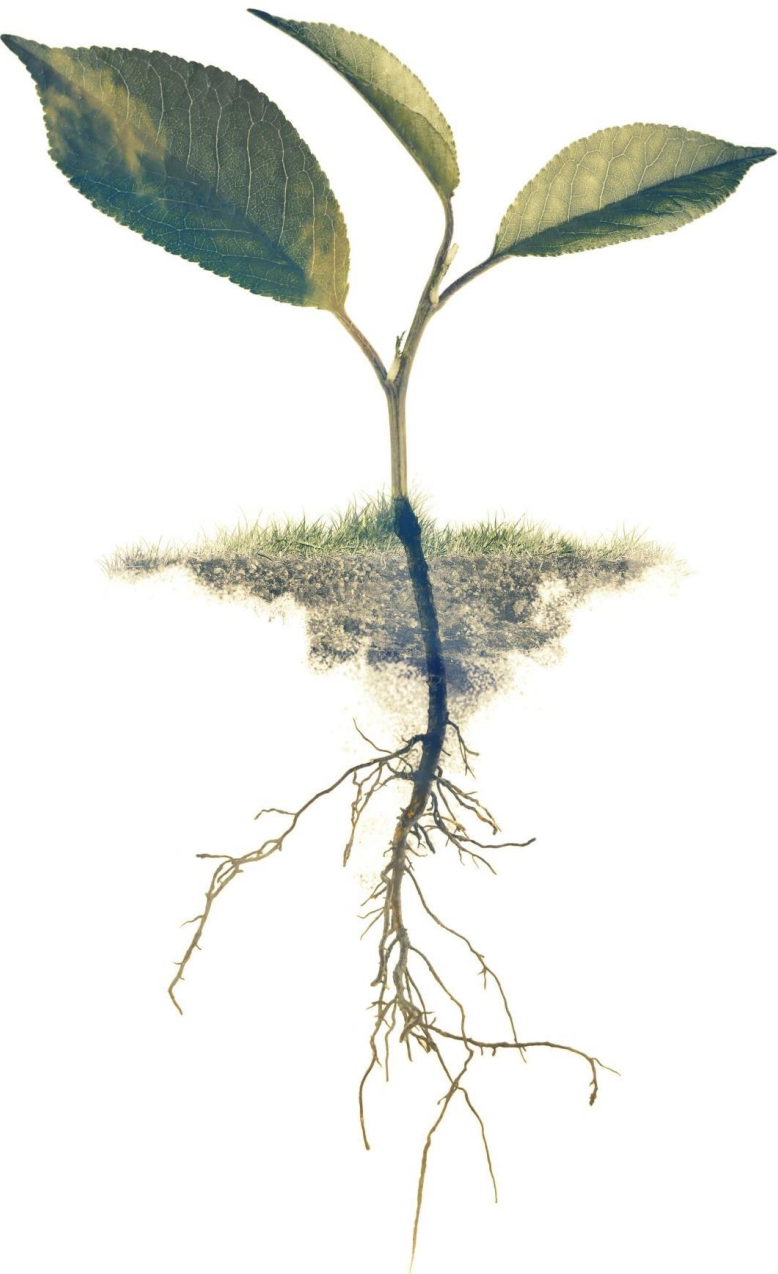
- (i) For each pair of vertices  $u$  and  $v$  in a tree, there is exactly one path from  $u$  to  $v$  that does not repeat any vertices or edges.
- (ii) Inserting an edge between any two vertices of a tree produces a graph containing a cycle.
- (iii) Removing any edge from a tree produces a disconnected graph



# A Rooted tree

- ❑ A rooted tree  $(T, V_0)$  is a tree in which one of the vertices is specified as the root  $V_0$ .
- ❑ Rooted trees are usually drawn with the root at the top.





**Theorem 1:** Let  $(T, V_0)$  be a rooted tree then;

i) There are no cycles in  $T$ .

ii)  $V_0$  is the only root of  $T$ .

iii) Each vertex in  $T$ , other than  $V_0$  has in-degree one, and  $V_0$  has in-degree 0.

# Example 1

Let  $A = \{v_1, v_2, \dots, v_{11}\}$  and let the tree  $T =$

$\{(v_1, v_2), (v_1, v_4), (v_3, v_6), (v_4, v_{10}), (v_5, v_8), (v_6, v_7), (v_3, v_5), (v_4, v_{11}), (v_5, v_9), (v_3, v_1)\}$

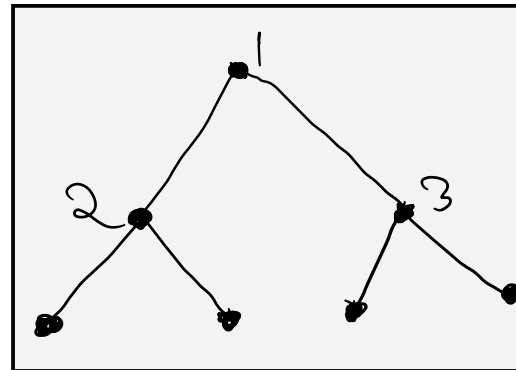
Show that  $T$  is a rooted tree and determine the root.

# Complete tree

A tree  $T$  is said to be complete if all its levels except possibly the last, have the maximum number of possible nodes, and if all the nodes at the last level appear as far left as possible.

A node that has no child is called a leaf node.

Any two nodes  $v_i$  and  $v_j$  which are connected by an edge are referred to as adjacent nodes. For example;



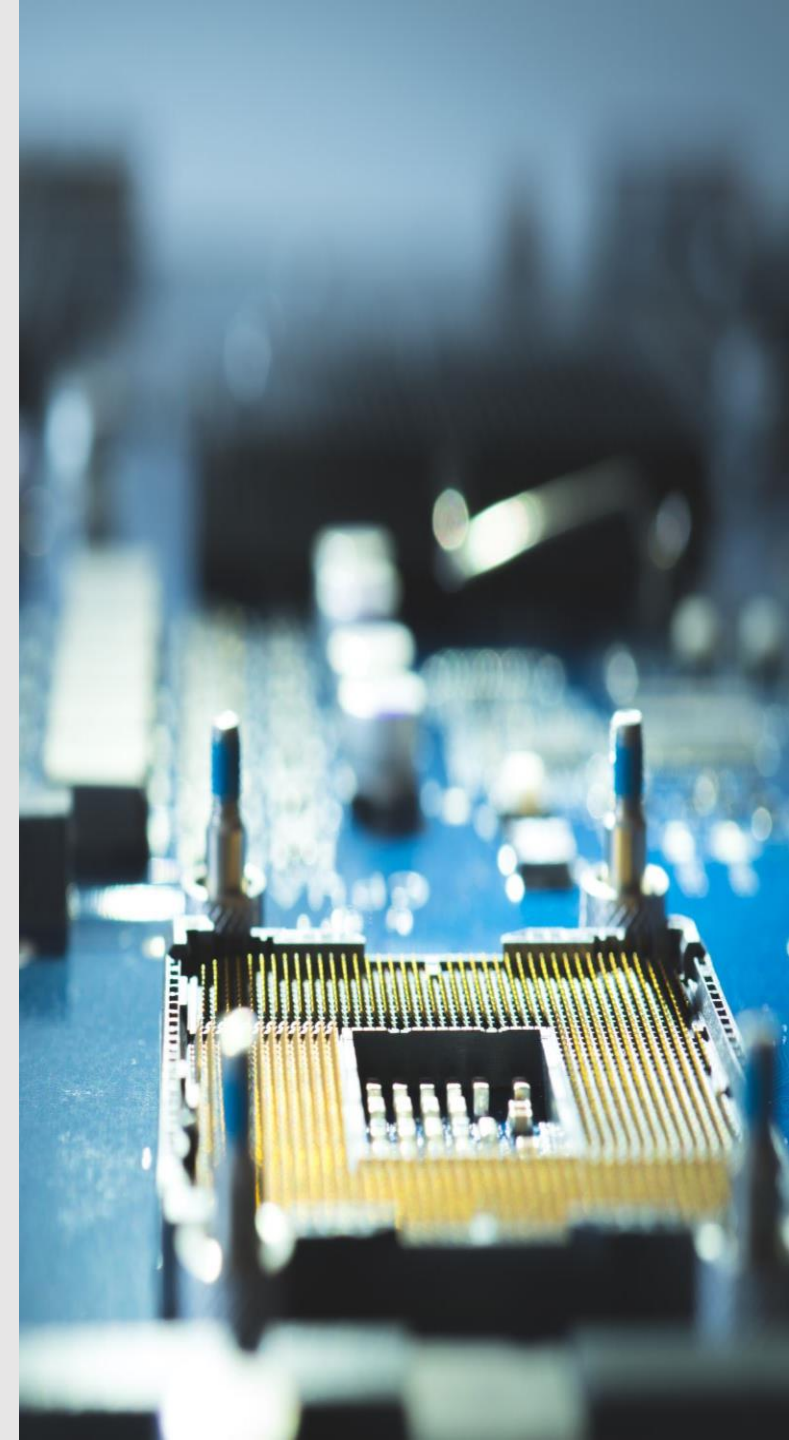
# Binary tree

A 2-tree is called a binary tree, that is, if each node has either 0 or 2 children.

The nodes with 2 children are called internal and nodes with 0 children are called external nodes.

# Labelled trees

In computer science, the set of vertices is not important compared to the utility of the tree which is emphasized by the label of the vertices.



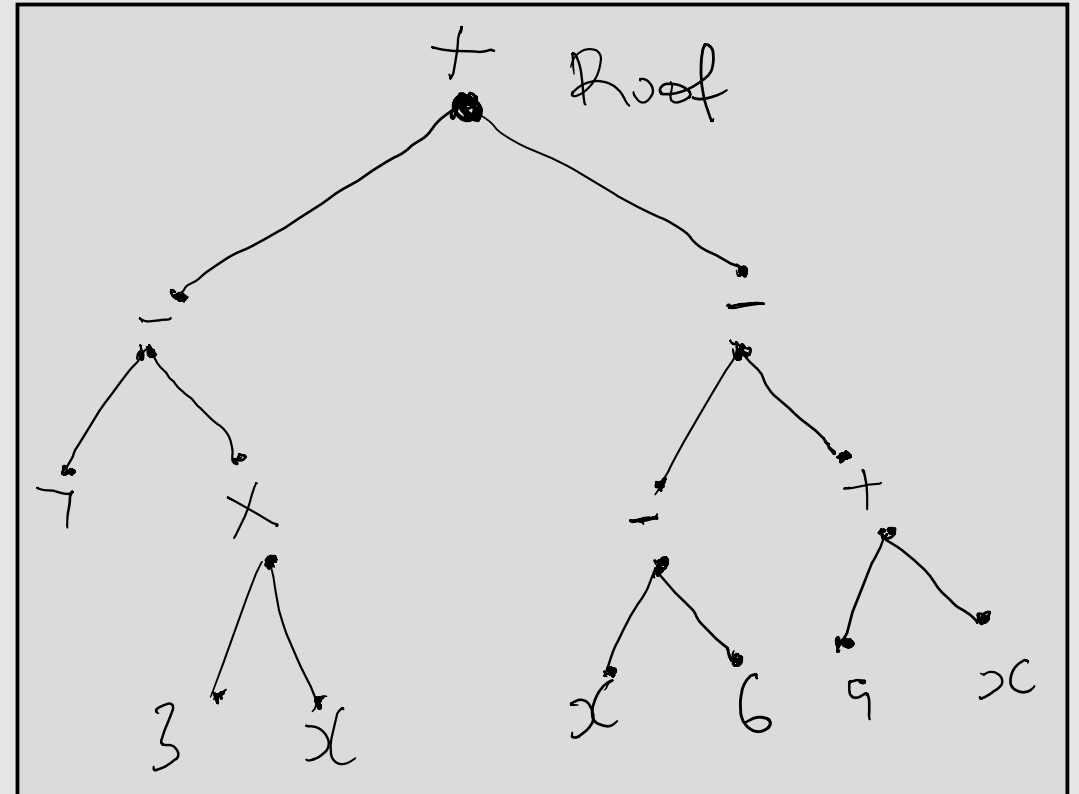
# Example 1

Consider the algebraic expression

$$(7 - (3 \times x)) + ((x - 6) - (9 + x))$$

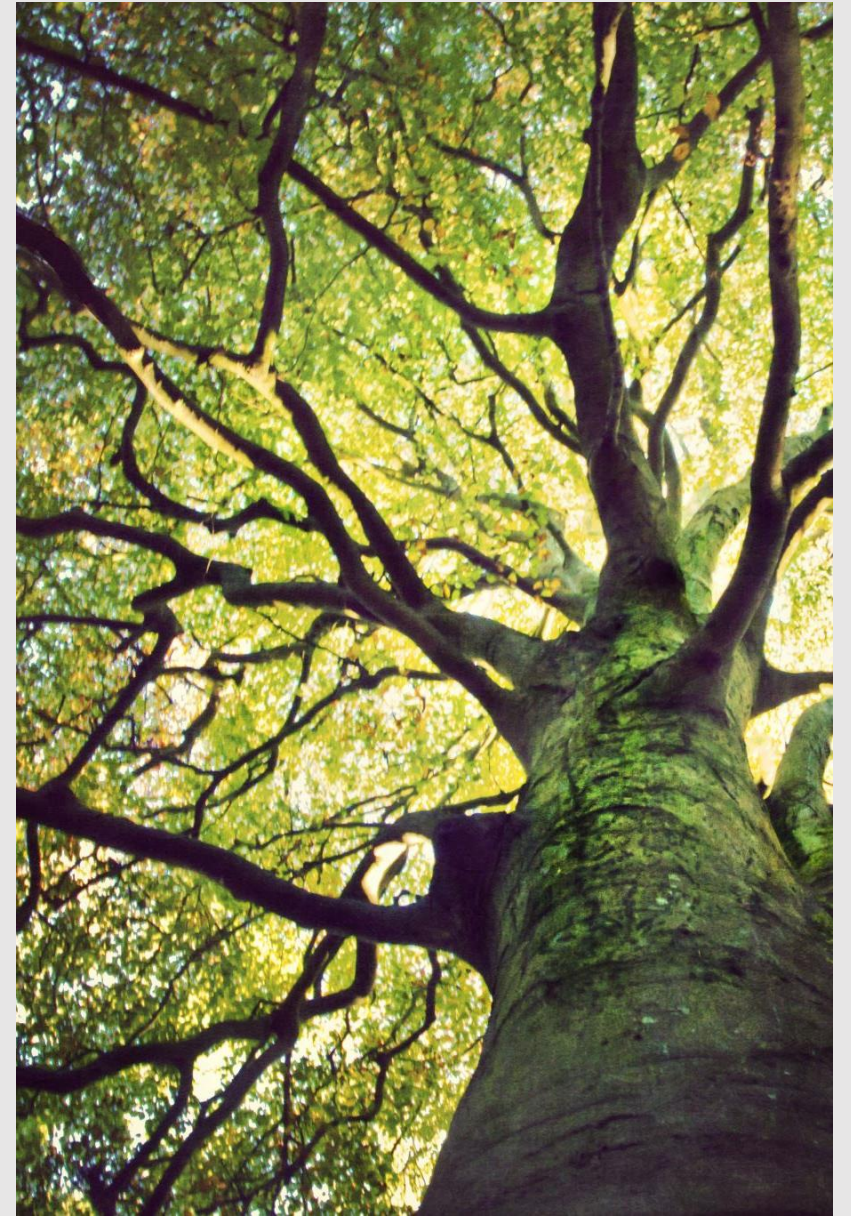
Note that '+' is the central operator.

The expression can be graphically represented by a labelled binary tree, where the root is the central operator i.e.



# Spanning tree

A subgraph  $T$  of a connected graph  $G$  is called a spanning tree of  $G$  if  $T$  is a tree and  $T$  include all the vertices of  $G$ .



# Minimum spanning tree



A minimal spanning tree of  $G$  is a spanning tree whose total weight is as small as possible.



The weight of a minimum spanning tree is unique but the minimum spanning tree itself is not.



A graph  $G$  may have more than 1 spanning trees.



Given a connected graph  $G$  with  $n$  vertices then the minimum spanning tree  $T$  must have  $n-1$  edges.



## **Algorithms for finding the minimum spanning tree**

Greedy algorithms such as Prim-Jarnik's and Kruskal's algorithms are used to determine the minimum spanning tree.

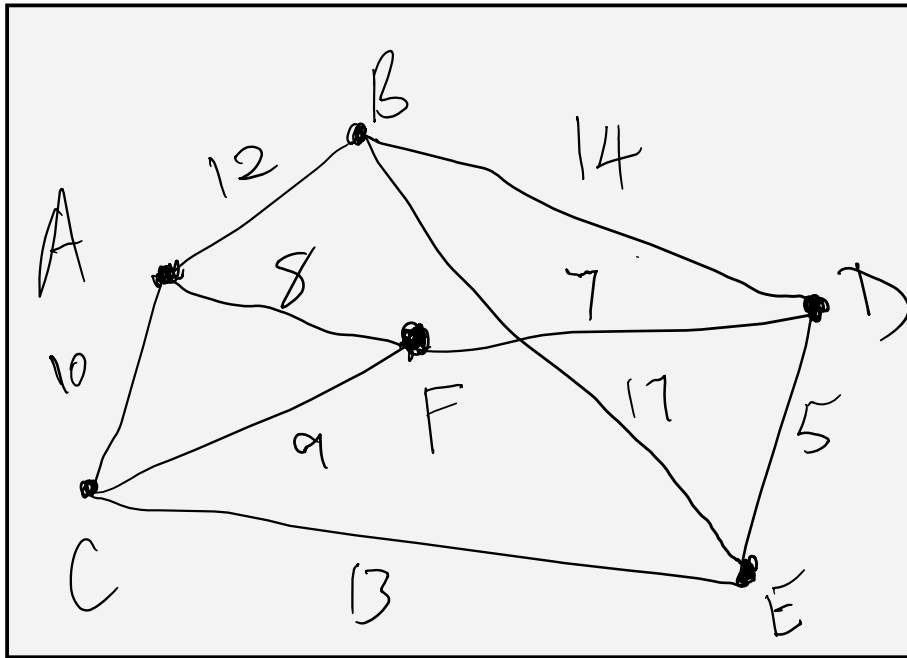
# Prim-Jarnik's algorithm

## Steps

1. Initialize a tree with a single vertex, chosen arbitrarily.
2. Choose any edge with the smallest weight.
3. Proceed sequentially by adding edges of minimum weight incident a vertex in the tree, that does not result to a circuit
4. Stop when  $n - 1$  edges have been added.

# Example 1 (Prim-Jarnik's algorithm )

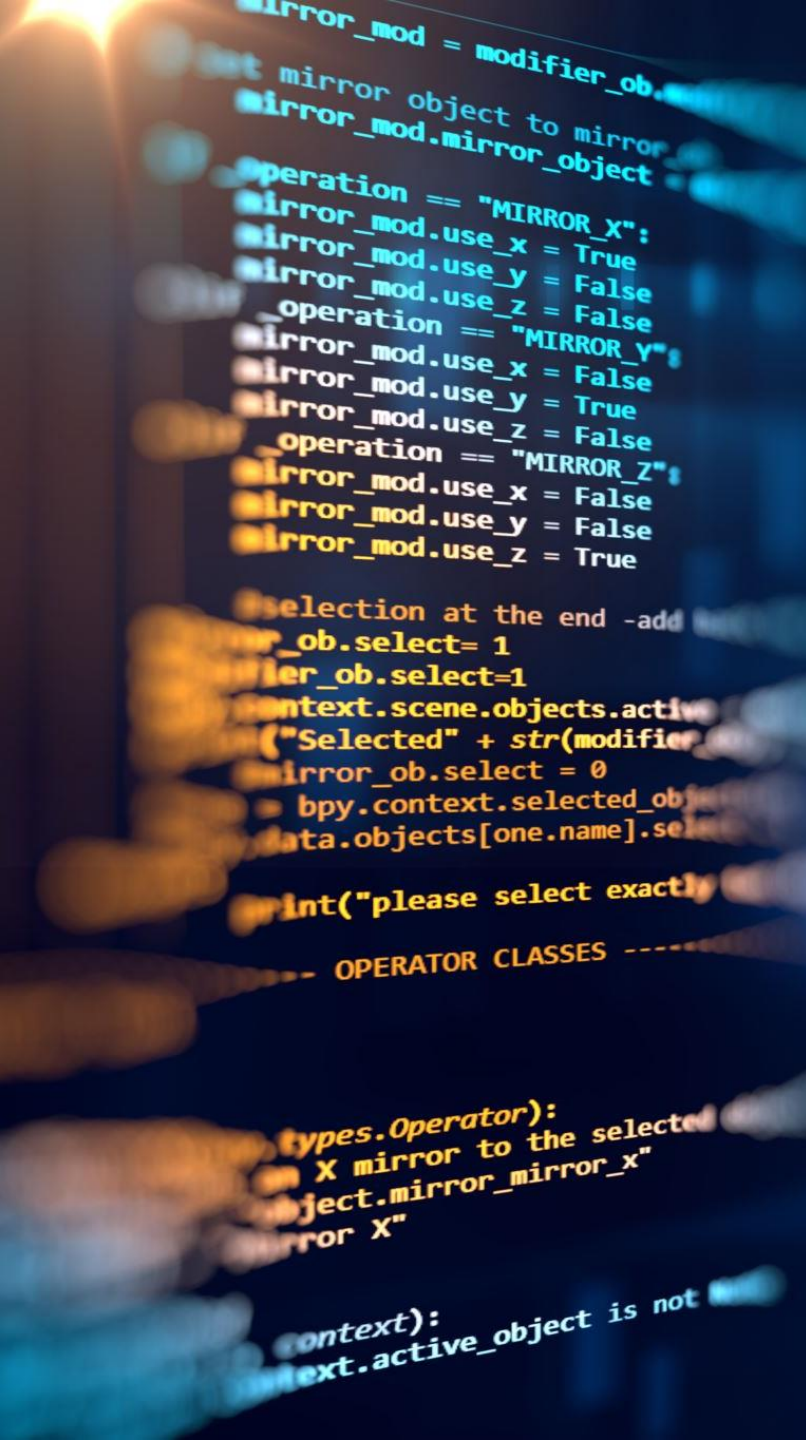
Find a minimum spanning tree of the weighted graph below



# Kruskal's algorithm

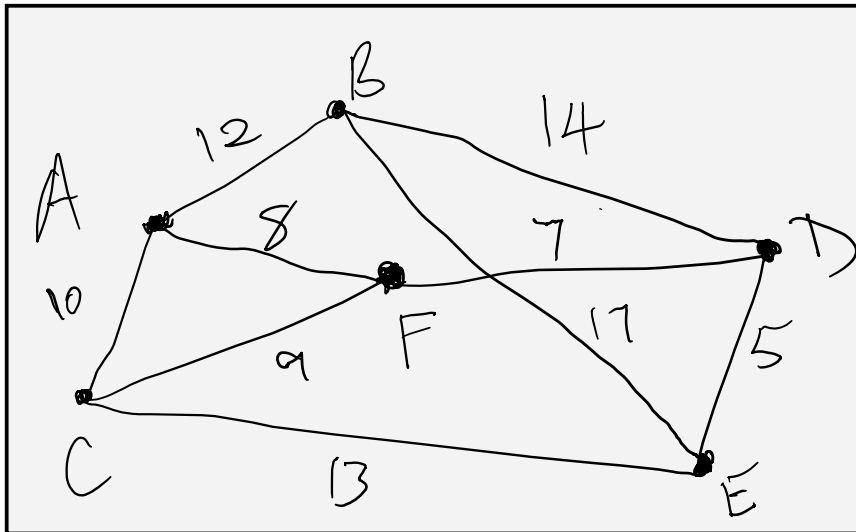
## Steps

1. Choose an edge with minimum weight.
2. Successively add edges with minimum weight, that do not form a circuit, with any of the edge already taken.
3. Stop when  $n - 1$  edges have been added.



# Example 2: Kruskal's Algorithm

Find a minimum spanning tree of the weighted graph G below.

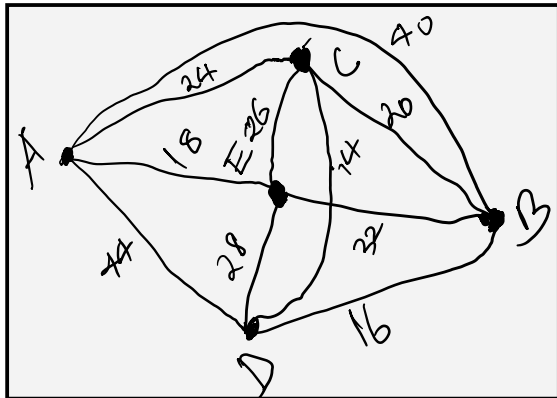


Edges	DE	DF	FA	FC	AC	AB	CE	BD	Total
Weight	5	7	8	9	10	12	13	14	41
Take	Yes	Yes	Yes	Yes	No	Yes	No	No	



# Example 3

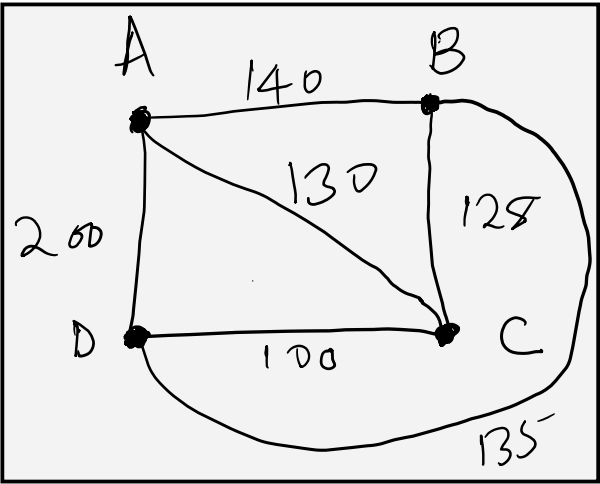
Five towns are connected by roads. The road network can be represented with the connected graph below (4-regular graph) with weights that represent the distance between the nodes or towns. Determine the minimum tree connecting all the towns using Prim's algorithm.



Edge	CD	DB	CA	AE	Total
Weight	14	16	24	18	72 km

# Application: Local area networks

Consider four buildings A, B, C, and D. The buildings are to be linked with data cables. The length of the cables is as shown in the graph(3-regular graph) below. Determine the minimum length of cable that connects all the four buildings.



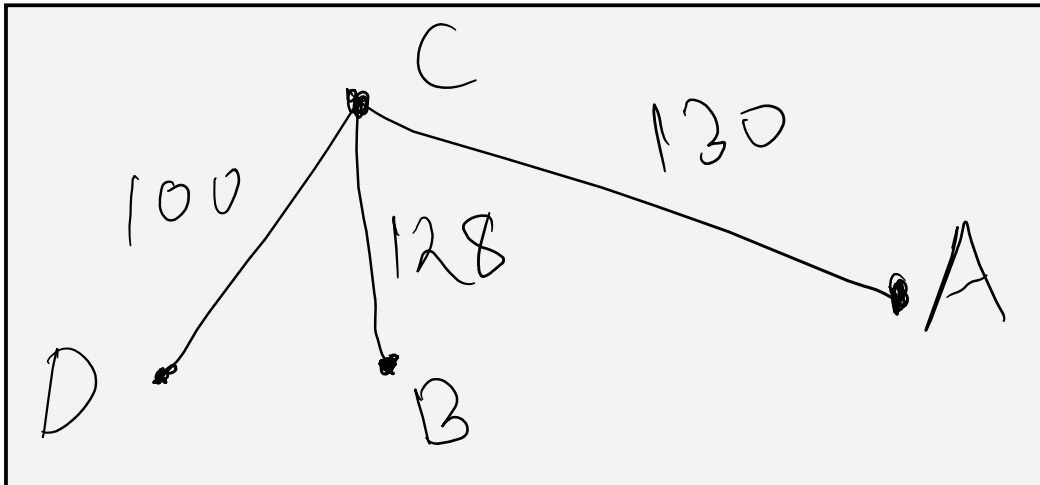
Edge						
Weight						

# Contd...

It is cheaper and sufficient to install the 3 shortest cables; CD, BC, and AC.

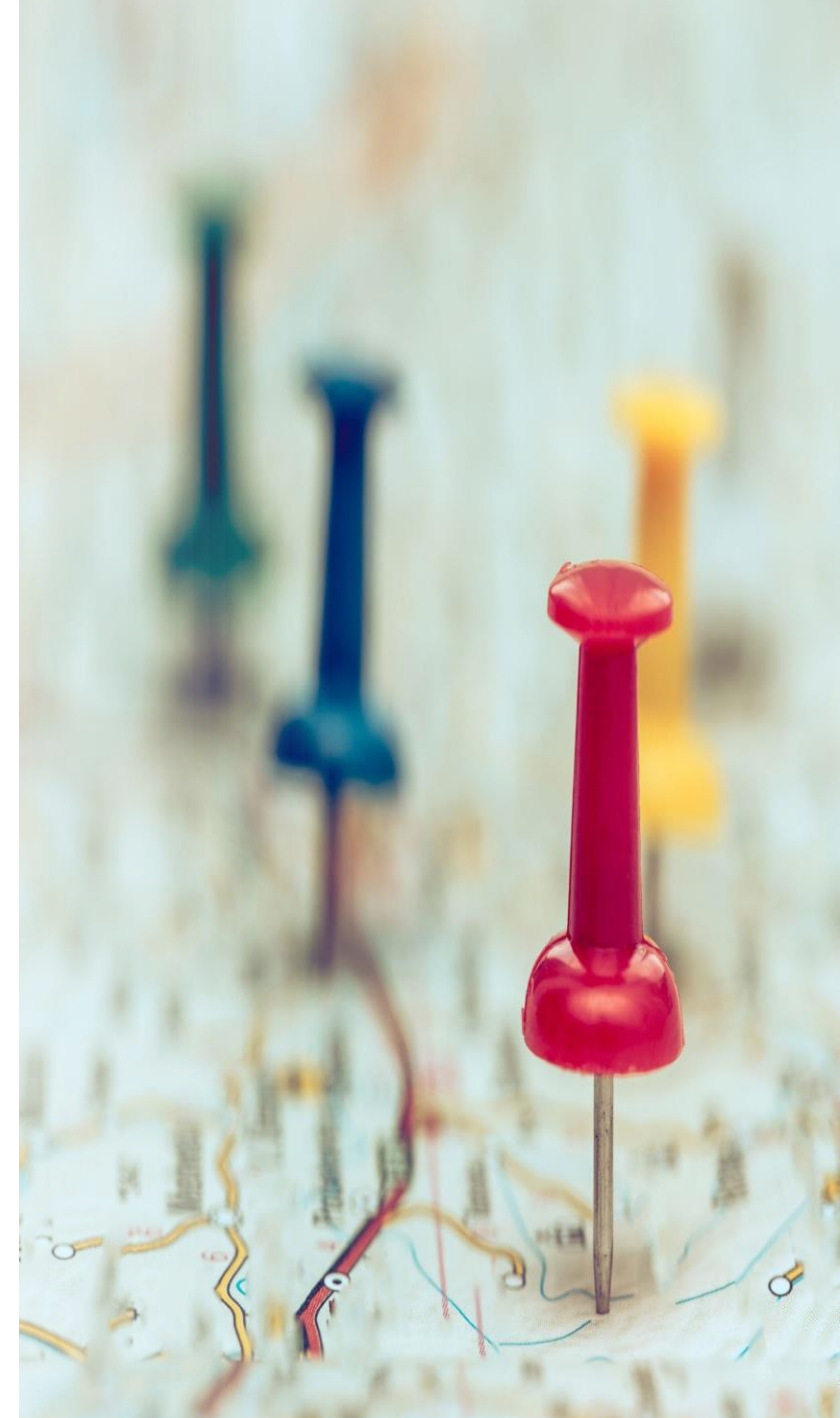
Any two buildings can easily connect with one another.

The three cables form a tree (a connected graph) and forms a minimal spanning tree, with C as the root, that provide the shortest distance.



## Traveling-salesperson problem

- **Theorem 1:** A finite connected graph is said to be Eulerian if and only if each vertex has even degree.
- **Definition:** A Hamiltonian circuit in a graph  $G$  is a closed path that passes every vertex in the graph exactly once.
- **Theorem 2:** The complete graph  $K_n$  with  $n \geq 3$  vertices, has  $H = \frac{(n-1)!}{2}$  Hamiltonian circuits.



# Traveling-salesperson problem

Let  $G$  be a complete weighted graph, the traveling-salesperson problem refers to finding a Hamiltonian circuit for  $G$  of minimum weight.

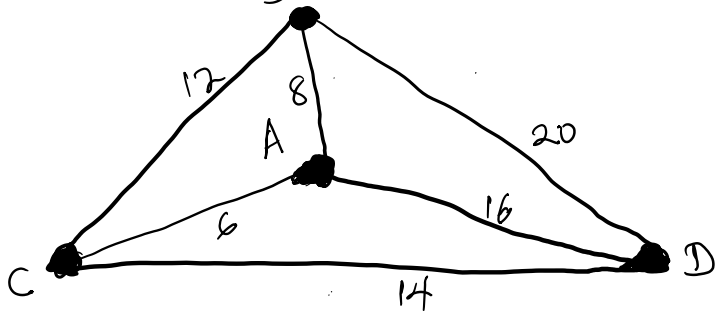


# Example 1

Determine the Hamiltonian circuit of minimum weight for the complete weighted graph.

The graph  $G$  has 4 vertices, by the above theorem 2 it has  $H = \frac{(n-1)!}{2} = \frac{(4-1)!}{2} = \frac{3!}{2} = 3$  Hamiltonian circuits. Assume the circuits start at  $A$  then we have the following circuits;

- $|ABCDA| = 8 + 12 + 14 + 16 = 50$
- $|ACDBA| = 6 + 14 + 20 + 8 = 48$
- $|ACBDA| = ~~6~~ + 12 + 20 + 16 = 54$

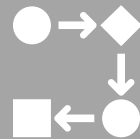


ACDBA with weight 48 is the Hamiltonian circuit of minimum weight.

# Nearest-neighbor Algorithm



The NNA starts at a random vertex, then chooses the edge with the least weight to the next closest vertex (neighbor).



Proceed sequentially until a Hamiltonian circuit is completed.

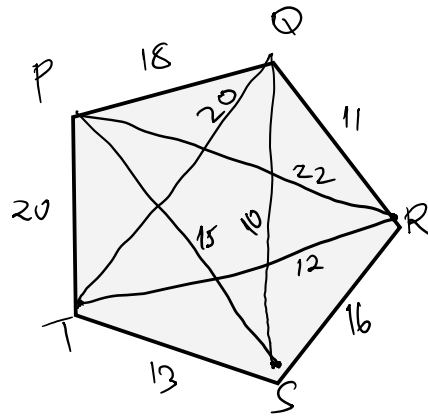


Note the NNA may miss shorter routes due to its 'greedy' nature.

# Example 1

- Consider the weighted graph below; The graph has  $\frac{(5-1)!}{2} = 12$  Hamiltonian circuits. We can apply NNA starting at (i) P (ii) Q (iii) R (iv) S (v) T to get the Hamiltonian circuits;

- $|PSQRTP| = 68$
- $|QSTRPQ| = 75$
- $|RQSTPR| = 76$
- $|SQRTPS| = 68$
- $|TRQSPT| = 68$



# References



Lipschutz, S., & Lipson, M. (2007). *Discrete Mathematics*. McGraw-Hill.



Rosen, K. (2012). *Discrete mathematics and its application* (7th ed.). McGraw-Hill.



Wilson, R. J. (1998). *Graph Theory* (4th ed.). Addison Wesley Longman Ltd.