

Course: Compiler Construction

Week 5: Syntax Analysis - Left Recursive Grammar

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Course Description

- The course begins with a coverage of basic Principles in Compiler Design with an introduction to Formal programming language translation and compiler design concepts; compilers, translators and interpreters.
- A coverage of Language theory, Parsing Context-Free Languages (Top - down and bottom - up parsing), translation specifications and machine-independent code optimization will then follow.

Course Description ...

- The main phases of compilation i.e. Lexical, **Syntax** and Semantic analysis will be taught.
- Code generation and Optimization, Symbol table design, Program compilation, Loading and execution will then be covered.
- Compilation techniques, Optimization, Design of a simple complete compiler; will culminate the course.

Learning outcomes Week 5: Syntax Analysis - Left Recursive Grammar

At the end of the lecture, you will be able to:

- (i) Define left recursion and left factorization
- (ii) Describe immediate and general left-recursion methods
- (iii) Describe an algorithm to eliminate left-recursion

Definition: Left-Recursion

- ✓ A production is left-recursive if the left-most symbol on the right side is similar to the non-terminal on the left side¹
- ✓ Recursive Grammar has a non-terminal e.g. "A" whose derivation contains "A" itself as the left-most symbol
- ✓ **Example:** $A \rightarrow A \alpha$

There are two types of left-recursion²

- a) Immediate left-recursion
- b) General (Indirect) left-recursion

I). Immediate left-recursion

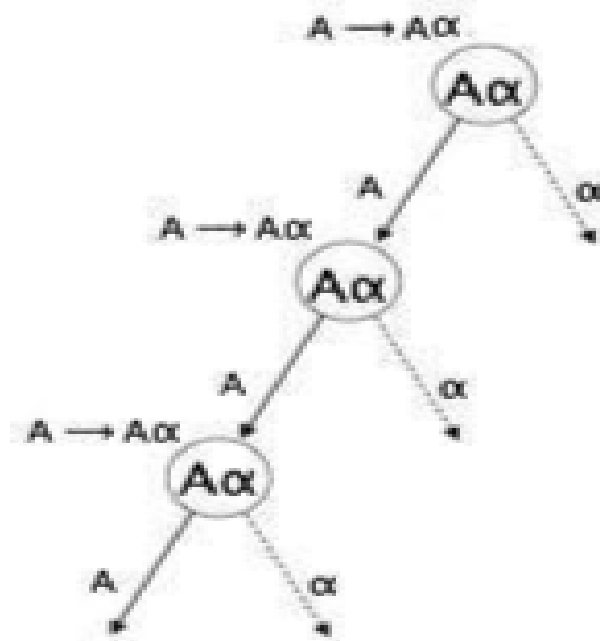
- ✓ This occurs when a non-terminal A has a production rule of the form:-

$$A \rightarrow A \alpha \mid \beta.$$

- ✓ Here, A is any **non-terminal** symbol and α represents a **string of non-terminals**³

Immediate left-recursion ...

- ✓ The top-down parser will **first parse the A**, which in turn will yield a string consisting of **A itself** and the parser **may go into a loop forever**⁴



Eliminating Left Recursion

- ✓ The immediate left-recursion can be eliminated by **introducing a new non-terminal symbol**⁵ for instance A'

Example 1:

Consider the production

$$A \rightarrow A\alpha \mid \beta$$

Which can be modified as follows⁶:

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \varepsilon$$

Therefore, the rule

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid B_1 \mid B_2 \mid \dots \mid B_n$$

Can be modified as⁷:-

$$A \rightarrow B_1 A' \mid B_2 A' \mid \dots \mid B_n A'$$

$$A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \varepsilon$$

Example 1: Eliminate left-recursion from the grammar

$$P \rightarrow P + Q \mid Q$$

✓ **We have the Solution⁸**

$$P \rightarrow QP'$$

$$P' \rightarrow +QP' \mid \epsilon$$

Example 2: Eliminate left-recursion from the grammar

$$S \rightarrow S0S1S \mid 01$$

✓ **We have the Solution⁸**

$$S \rightarrow 01S'$$

$$S' \rightarrow 0S1SS' \mid \epsilon$$

Example 3: Eliminate left-recursion from the grammar

$$A \rightarrow (B) \mid b$$

$$B \rightarrow BXA \mid A$$

✓ **We have the Solution⁸**

$$A \rightarrow (B) \mid b \text{ (No left recursion – expression remains as it is)}$$

$$B \rightarrow AB'$$

$$B' \rightarrow XAB' \mid \epsilon$$

✓ **Example 4:** Eliminate left-recursion from the grammar

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$T \rightarrow (E) \mid id$$

✓ **We have the Solution⁹**

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T' \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$T \rightarrow (E) \mid id$$

II. General (Indirect) left-recursion

✓ This is a left-recursion that occurs **due to a number of production rules**¹⁰.

✓ **Example 1:** Consider the grammar:

$$S \rightarrow Aa$$

$$A \rightarrow Sb \mid c$$

S is left recursive since

$$S \rightarrow Aa \rightarrow Sba$$

✓ **Example 2:** Consider the grammar¹¹:

$$S \rightarrow A\alpha \mid \beta$$

$$A \rightarrow Sd$$

S is left recursive since

$$S \rightarrow A\alpha \rightarrow Sd\alpha$$

Algorithm to eliminate left-recursion:

- ✓ Generally, left-recursion is eliminated by the following algorithm:

Step 1¹²

Arrange non-terminals in some order for example A_1, A_2, \dots, A_m

Algorithm to eliminate left-recursion:

Step 2¹³

For $i=1$ to m , do

 For $j=1$ to $i-1$ do

 For each set of
production

$A_i \rightarrow A_j \gamma$ and $A_j \rightarrow \delta_1 | \delta_2 | \dots | \delta_k$

replace $A_i \rightarrow A_j \gamma$ **by**

$A_i \rightarrow \delta_1 \gamma | \delta_2 \gamma | \dots | \delta_k \gamma$

Algorithm to eliminate left- recursion:

Step 3¹⁴

*Eliminate immediate left
recursion from all
productions*

Example 1:

The grammar

$$S \rightarrow Aa$$

$$A \rightarrow Sb \mid c$$

- ✓ Step 1 - Let the order of *non-terminals* be **S, A**¹⁵.

For $i = 1$,

the rule $S \rightarrow Aa$ remains since there is no immediate left-recursion.

For $i = 2$,

$A \rightarrow Sb|c$ is modified as $A \rightarrow Aab|c$

which has immediate left recursion and once eliminated, we get¹⁶

$A \rightarrow cA'$

$A' \rightarrow abA' | \epsilon$

Example 2: The production set:

$$S \rightarrow A\alpha \mid \beta$$

$$A \rightarrow Sd$$

✓ Apply the above algorithm to get:-

$$S \rightarrow A\alpha \mid \beta$$

✓ $A \rightarrow A\alpha d \mid \beta d$ is modified as:-

$$A \rightarrow Aab \mid c^{17}$$

✓ Then, remove immediate left recursion using the first technique to get:-

$$A \rightarrow \beta d A'$$

$$A' \rightarrow a d A' \mid \varepsilon$$

✓ Now, none of the productions have either direct or indirect left recursion¹⁸

Left-factorization

✓ If two productions for the same nonterminal begin with the same sequence of symbols, then the **top-down parser cannot make a choice** as to which of the production it should take to parse the string in hand¹⁹.

Example: If a top-down parser encounters a production like:-

$$A \rightarrow \alpha\beta \mid \alpha\gamma \mid \dots$$

- ✓ It cannot determine which production to follow to parse the string, since both productions are starting from the same terminal (or non-terminal).
- ✓ **Left factoring** is a technique used to eliminate this confusion²⁰.

- ✓ Left factoring transforms the grammar to make it **useful for top-down parsers.**
- ✓ In this technique, we make one production for each common prefixes and the rest of the derivation is added by new productions²¹.

✓ Generally, we rewrite the grammar to ensure that:-

- i. the overlapping productions are made into a single production containing the common prefix of the productions
- ii. a new auxiliary non-terminal for the different suffixes is used²².

Method²³

If $\alpha \neq \varepsilon$ then **replace all** of the
A productions

$$A \Rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n$$

Method cont..

With

$$A \Rightarrow \alpha A'$$

$$A' \Rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

- ✓ Where A' is a new non-terminal
- ✓ Repeat until **no two alternatives for a single non-terminal have a common prefix**²⁴

Example: The above productions can be written as:-

$$A \Rightarrow \alpha A'$$

$$A' \Rightarrow \beta \mid \gamma \mid \dots$$

✓ Now, the parser has only one production per prefix which makes it easier to take decisions²⁵

Example1: Consider the grammar:

$$A \Rightarrow aAB \mid aA \mid a$$

To remove left factoring, rewrite as:

$$A \Rightarrow aC$$

$$C \Rightarrow AB \mid A \mid \varepsilon$$

Example2: Consider the grammar:

$$B \Rightarrow bB \mid bAB \mid bC \mid b$$

To remove left factoring, rewrite as:

$$B \Rightarrow bC$$

$$C \Rightarrow B \mid AB \mid C \mid \varepsilon$$

First and Follow Sets

A. First Set

- ✓ Allows us to determine the symbol derived in the **first position by a non-terminal**²⁶
- ✓ Example:- for $\alpha \rightarrow t \beta$, means that **α derives t (terminal)** in the very first position.
- ✓ Therefore, **$t \in \text{FIRST}(\alpha)$**

Algorithm to calculate First Set²⁷

1. If α is a terminal, then $\text{FIRST}(\alpha) = \{\alpha\}$
2. If α is a non-terminal, and $\alpha \rightarrow \varepsilon$ is a production, then $\text{FIRST}(\alpha) = \{\varepsilon\}$
3. If α is a non-terminal, and $\alpha \rightarrow \gamma_1\gamma_2\gamma_3\dots\gamma_n$ and any $\text{FIRST}(\gamma_i)$ contains t then t is in $\text{FIRST}(\alpha)$.

B. Follow Set

- ✓ Allows us to determine the **terminal symbol that immediately follows a non-terminal α** in production rules.
- ✓ The focus is not what the non-terminal can generate but **what would be the next terminal symbol that follows the productions of a non-terminal²⁸**.

Algorithm to calculate Follow Set²⁹

1. If α is a start symbol, then $\text{FOLLOW}(\alpha) = \{\$\}$
2. If α is a non-terminal, and has a production $\alpha \rightarrow AB$, then $\text{FIRST}(B)$ is in $\text{FOLLOW}(\alpha)$ except ϵ
3. If α is a non-terminal, and has a production $\alpha \rightarrow AB$, where $B \Rightarrow \epsilon$, the $\text{FOLLOW}(A)$ is in $\text{FOLLOW}(\alpha)$.

Find the First & Follow Sets of the grammar

| GRAMMAR | FIRST | FOLLOW |
|----------------------------------|------------------|------------------------------------------------|
| $S \rightarrow Bb \mid Cd$ | {a,b,c,d} | { $\$$ } S does not appear anywhere on the RHS |
| $B \rightarrow aB \mid \epsilon$ | {a, ϵ } | {b} |
| $C \rightarrow cC \mid \epsilon$ | {c, ϵ } | {d} |

Find the First & Follow Sets of the grammar

| GRAMMAR | FIRST | FOLLOW |
|----------------------------------|--------------------|---------|
| $S \rightarrow aBDh$ | {a} | {S} |
| $B \rightarrow cC$ | {c} | {g,f,h} |
| $C \rightarrow bC \mid \epsilon$ | {b, ϵ } | {g,f,h} |
| $D \rightarrow EF$ | {g,f, ϵ } | {h} |
| $E \rightarrow g \mid \epsilon$ | {g, ϵ } | {f,h} |
| $F \rightarrow f \mid \epsilon$ | {f, ϵ } | {h} |

Summary of Syntax Analyzers

- ✓ Syntax analyzers receive their **inputs**, in form of **tokens** from lexical analyzers.
- ✓ Lexical Analyzers are responsible for the **validity of a token** supplied by the syntax analyzer³⁰.
- ✓ Syntax analyzers have four limitations in terms of the tasks they are able to do...

Limitations of Syntax Analyzers

Syntax analyzers cannot determine the following:-

- i. If a token is valid
- ii. If a token is declared before being used
- iii. If a token is initialized before being used
- iv. If an operation performed on a token type is valid or not

✓ These limitations are accomplished by **semantic analyzers**³¹

Content Covered in Week 5: Syntax Analysis - Left Recursive Grammar

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- (ii) Describe immediate and general left-recursion methods
- (iii) Describe an algorithm to eliminate left-recursion

Course Text Books

1. Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; *Addison- Wesley Pub Co*, ISBN: 0201100886 (2007))
2. Compiler Construction: Principles and practices; Kenneth C. Loudon; *Cengage Learning*; 1st edition, ISBN-10 : 0534939724 (1997)
3. Basics of Compiler Design: Torben Mogensen; *DIKU University of Copenhagen Universitetsparken 1 DK-2100 Copenhagen DENMARK* (2007)
4. Engineering a Compiler: 2nd edition; Keith D. Cooper and Linda Torczon; *Morgan Kaufmann Publishers* ISBN: 978-0-12-088478-0 (2003)
5. Compiler Design: Santanu Chattopadhyay; *PHI Learning Publishers*, ISBN 812032725X, 9788120327252 (2005)