

Course: Compiler Construction

Week 5: Syntax Analysis - Left Recursive Grammar

Lecturer: Martha Gichuki

Learning outcomes Week 5: Syntax Analysis - Left Recursive Grammar

At the end of the lecture, you will be able to:

- (i) Define left recursion and left factorization
- (ii) Describe immediate and general left-recursion methods
- (iii) Describe an algorithm to eliminate left-recursion

1. Introduction

1.1. Definition: Left-Recursion

- ✓ A production is left-recursive if the left-most symbol on the right side is similar to the non-terminal on the left side¹
- ✓ Recursive Grammar has a non-terminal e.g. “A” whose derivation contains “A” itself as the left-most symbol
- ✓ **Example:** $A \rightarrow A \alpha$

There are two types of left-recursions²

- I. Immediate left-recursion
- II. General (Indirect) left-recursion

¹ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 92

² Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 237

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

Therefore, the rule

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid B_1 \mid B_2 \mid \dots \mid B_n$$

Can be modified as⁷: -

$$A \rightarrow B_1 A' \mid B_2 A' \mid \dots \mid B_n A'$$

$$A' \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon$$

Example 1: Eliminate left-recursion from the grammar

$$P \rightarrow P + Q \mid Q$$

✓ We have the Solution

$$P \rightarrow QP'$$

$$P' \rightarrow +QP' \mid \epsilon$$

Example 2: Eliminate left-recursion from the grammar

$$S \rightarrow S0S1S \mid 01$$

✓ We have the Solution

$$S \rightarrow 01S'$$

$$S' \rightarrow 0S1SS' \mid \epsilon$$

Example 3: Eliminate left-recursion from the grammar

$$A \rightarrow (B) \mid b$$

$$B \rightarrow BXA \mid A$$

✓ **We have the Solution**

$$A \rightarrow (B) \mid b \text{ (No left recursion – expression remains as it is)}$$

$$B \rightarrow AB'$$

$$B' \rightarrow XAB' \mid \epsilon$$

✓ **Example 4: Eliminate left-recursion from the grammar**

$$E \rightarrow E + T \mid T$$

⁷ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 237

$$T \rightarrow T * F|F$$

$$T \rightarrow (E)|id$$

✓ **We have the Solution**

$$E \rightarrow TE'$$

$$E' \rightarrow +TE'|\epsilon$$

$$T' \rightarrow FT'$$

$$T' \rightarrow *FT'|\epsilon$$

$$T \rightarrow (E)|id^8$$

II. General (Indirect)left-recursion⁹

✓ This is a left-recursion that occurs due to a number of productions rules.

Example 1: Consider the grammar:

$$S \rightarrow Aa$$

$$A \rightarrow Sb|c$$

S is left recursive since $S \rightarrow Aa \rightarrow Sba$

Example 2: Consider the grammar:

$$S \rightarrow A\alpha|\beta$$

$$A \rightarrow Sd$$

S is left recursive since $S \rightarrow A\alpha \rightarrow Sd\alpha$

Algorithm to eliminate left-recursion¹⁰:

✓ Generally, left-recursion is eliminated using the following algorithm:

Step 1

Arrange non-terminals in some order for example A_1, A_2, \dots, A_m

⁸ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 237

⁹ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 354

¹⁰ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 238

Step 2

For $i=1$ to m , do

 For $j=1$ to $i-1$ do

 For each set of production

$A_i \rightarrow A_j\gamma$ and $A_j \rightarrow \delta_1|\delta_2|\dots|\delta_k$ replace $A_i \rightarrow A_j\gamma$ by

$A_i \rightarrow \delta_1\gamma|\delta_2\gamma|\dots|\delta_k\gamma$

Step 3

Eliminate immediate left recursion from all productions

Example 1: For the grammar

$S \rightarrow Aa$

$A \rightarrow Sb|c$

Step 1 - Let the order of *non-terminals* be S, A .

Step 2 - For $i = 1$,

 the rule $S \rightarrow Aa$ remains since there is no immediate left-recursion.

 For $i = 2$,

$A \rightarrow Sb|c$ is modified as $A \rightarrow Aab|c$

 which has immediate left recursion and once eliminated, we get¹⁶

$A \rightarrow cA'$

$A' \rightarrow abA'|\epsilon$ ¹¹

Example 2: The production set:

$S \rightarrow A\alpha|\beta$

$A \rightarrow Sd$

✓ Apply the above algorithm to get: -

¹¹ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 238

$$S \rightarrow A\alpha|\beta$$

$A \rightarrow A\alpha d|\beta$ d is modified as: -

$$A \rightarrow Aab|c^{17}$$

- ✓ Then, remove immediate left recursion using the first technique to get: -

$$A \rightarrow \beta d A'$$

$$A' \rightarrow \alpha d A' | \epsilon$$

- ✓ Now, none of the productions have either direct or indirect left recursion¹²

2. Left-factorization

- ✓ If two productions for the same non-terminal begin with the same sequence of symbols, then the **top-down parser cannot make a choice** as to which of the production it should take to parse the string in hand¹³.

Example: If a top-down parser encounters a production like: -

$$A \rightarrow \alpha\beta|\alpha\gamma|\dots$$

- ✓ It cannot determine which production to follow to parse the string, since both productions are starting from the same terminal (or non-terminal).
- ✓ **Left factoring** is a technique used to eliminate this confusion¹⁴.
- ✓ Left factoring transforms the grammar to make it useful **for top-down parsers**.
- ✓ In this technique, we make one production for each common prefixes and the rest of the derivation is added by new productions.
- ✓ Generally, we rewrite the grammar to ensure that: -
 - (i) the overlapping productions are made into a single production containing the common prefix of the productions
 - (ii) a new auxiliary non-terminal for the different suffixes is used.

¹² Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 239

¹³ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 239

¹⁴ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 240

Method¹⁵

If $\alpha \neq \epsilon$ then **replace all** of the **A productions**

$$A \Rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n$$

With: - $A \Rightarrow \alpha A'$

$$A' \Rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

- ✓ Where A' is a new **non-terminal**
- ✓ Repeat until **no two alternatives for a single non-terminal have a common prefix**

Example: The above productions can be written as: -

$$A \Rightarrow \alpha A'$$

$$A' \Rightarrow \beta \mid \gamma \mid \dots$$

- ✓ Now, the parser has only one production per prefix which makes it easier to take decisions¹⁶

Example1: Consider the grammar:

$$A \Rightarrow aAB \mid aA \mid a$$

To remove left factoring, rewrite as:

$$A \Rightarrow aC$$

$$C \Rightarrow AB \mid A \mid \epsilon$$

Example2: Consider the grammar:

$$B \Rightarrow bB \mid bAB \mid bC \mid b$$

To remove left factoring, rewrite as:

$$B \Rightarrow bC$$

$$C \Rightarrow B \mid AB \mid C \mid \epsilon$$

¹⁵ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 240

¹⁶ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 240

3. First and Follow Sets

3.1. First Set

- ✓ Allows us to determine the symbol derived in the **first position by a non-terminal**²⁶
- ✓ Example: - for $\alpha \rightarrow t \beta$, means that α **derives t (terminal)** in the very first position.
- ✓ Therefore, $t \in \text{FIRST}(\alpha)$ ¹⁷

Algorithm to calculate First Set¹⁸

- (i) If α is a terminal, then $\text{FIRST}(\alpha) = \{\alpha\}$
- (ii) If α is a non-terminal, and $\alpha \rightarrow \epsilon$ is a production, then $\text{FIRST}(\alpha) = \{\epsilon\}$
- (iii) If α is a non-terminal, and $\alpha \rightarrow \gamma_1\gamma_2\gamma_3\dots\gamma_n$ and any $\text{FIRST}(\gamma)$ contains t then t is in $\text{FIRST}(\alpha)$.

3.2. Follow Set

- ✓ Allows us to determine the **terminal symbol that immediately follows a non-terminal α** in production rules.
- ✓ The focus is not what the non-terminal can generate but **what would be the next terminal symbol that follows the productions of a non-terminal**¹⁹.

Algorithm to calculate Follow Set²⁰

1. If α is a start symbol, then $\text{FOLLOW}() = \{\$\}$
2. If α is a non-terminal, and has a production $\alpha \rightarrow \mathbf{AB}$, then $\text{FIRST}(\mathbf{B})$ is in $\text{FOLLOW}(\mathbf{A})$ except ϵ
3. If α is a non-terminal, and has a production $\alpha \rightarrow \mathbf{AB}$, where $B \in \epsilon$, the $\text{FOLLOW}(\mathbf{A})$ is in $\text{FOLLOW}(\alpha)$.

¹⁷ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 245

¹⁸ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 246

¹⁹ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 245

²⁰ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 247

Example 1: - Find the First & Follow Sets of the grammar

$S \rightarrow Bb|Cd, B \rightarrow aB|\epsilon, C \rightarrow cC|\epsilon$

GRAMMAR	FIRST	FOLLOW
$S \rightarrow Bb Cd$	{a,b,c,d}	{ $\$$ } S does not appear anywhere on the RHS
$B \rightarrow aB \epsilon$	{a, ϵ }	{b}
$C \rightarrow cC \epsilon$	{c, ϵ }	{d}

Example 2: - Find the First & Follow Sets of the grammar

$S \rightarrow aBDh, B \rightarrow cC, C \rightarrow bC|\epsilon, D \rightarrow EF, E \rightarrow g|\epsilon, F \rightarrow f|\epsilon$

GRAMMAR	FIRST	FOLLOW
$S \rightarrow aBDh$	{a}	{S}
$B \rightarrow cC$	{c}	{g,f,h}
$C \rightarrow bC \epsilon$	{b, ϵ }	{g,f,h}
$D \rightarrow EF$	{g,f, ϵ }	{h}
$E \rightarrow g \epsilon$	{g, ϵ }	{f,h}
$F \rightarrow f \epsilon$	{f, ϵ }	{h}

Summary of Syntax Analyzers

- ✓ Syntax analyzers receive their **inputs**, in form of **tokens** from lexical analyzers.
- ✓ Lexical Analyzers are responsible for the **validity of a token** supplied by the syntax analyzer²¹.
- ✓ Syntax analyzers have four limitations in terms of the tasks they are able to do...

Limitations of Syntax Analyzers

Syntax analyzers cannot determine the following: -

- i. If a token is valid
 - ii. If a token is declared before being used
 - iii. If a token is initialized before being used
 - iv. If an operation performed on a token type is valid or not
- ✓ These limitations are accomplished by **semantic analyzers**²²

²¹ Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 185

²² Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison- Wesley Pub Co, ISBN: 0201100886 (2007) page 185

Content Covered in Week 5: Syntax Analysis - Left Recursive Grammar

At the end of the lecture, we were able to:

- (i) Define left recursion and left factorization
- (ii) Describe immediate and general left-recursion methods
- (iii) Describe an algorithm to eliminate left-recursion

Course Text Books

1. Compilers: Principles, Techniques and Tools, Aho, Lam, Sethi, and Ullman; Addison-Wesley Pub Co, ISBN: 0201100886 (2007))
2. Compiler Construction: Principles and practices; Kenneth C. Louden; Cengage Learning; 1st edition, ISBN-10: 0534939724 (1997)
3. Basics of Compiler Design: Torben Mogensen; DIKU University of Copenhagen Universitetsparken 1 DK-2100 Copenhagen DENMARK (2007)
4. Engineering a Compiler: 2nd edition; Keith D. Cooper and Linda Torczon; Morgan Kaufmann Publishers ISBN: 978-0-12-088478-0 (2003)
5. Compiler Design: Santanu Chattopadhyay; PHI Learning Publishers, ISBN 812032725X, 9788120327252 (2005)