

Engineering Thermodynamics I

Lecture 7

Second Law of Thermodynamics

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Lecture learning outcomes:

At the end of this lecture, you will be able to:

- i. Understand the second law of thermodynamics and recognize the limitations of energy conversion.
- ii. Understand the role of thermal reservoirs in storing and transferring heat.
- iii. Analyze how heat engines operate and their efficiency limitations.
- iv. Assess the impact of the second law on real-world applications like power generation.
- v. Apply thermodynamic concepts to improve energy efficiency in engineering systems.

Content

1. Second Law of Thermodynamics
2. Thermal Energy Reservoirs
3. Thermodynamic Cycles
4. Heat Engines
5. Efficiency and Performance of Heat Engines

Summary

References

1. Second Law of Thermodynamics

- The **Second Law of Thermodynamics** states that in any energy transfer or transformation, the total entropy (disorder) of a system and its surroundings always increases [1].
- In other words, it states that processes occur in **a certain direction**, not in just any direction.

For example:

- Water flows down a waterfall.
- Gases expand from a region of **high pressure** to a **lower pressure**.
- Heat moves from an area of **elevated temperature** to one with a **lower temperature**.

1. Second Law of Thermodynamics

Cont...

- It implies that natural processes tend to move towards **greater randomness** and **lower efficiency** in energy conversion.
- Physical processes in nature can proceed toward equilibrium spontaneously.
- Once a spontaneous process occurs, it can be reversed, but not without **external energy input**.
- This law is fundamental in understanding **energy limitations**, **thermodynamic efficiency**, and the behavior of **heat engines**.

1. Second Law of Thermodynamics

Cont...

- In simpler terms:
 - Heat naturally flows from **hot objects** to **cold ones**, never the reverse without external work.
 - No engine or machine can be **100% efficient**, as some energy is always lost as waste heat.
 - Entropy is a measure of disorder, and the universe naturally evolves towards higher entropy.

1. Second Law of Thermodynamics

Cont...

- While a spontaneous process can **theoretically** be reversed, doing so requires an **external energy source** because nature doesn't automatically undo these changes.

For example:

- To move heat from a cold object back to a hot one, a **heat pump** or **refrigerator** is needed.
- To push water back up a waterfall, work must be done, such as **pumping** the water upward.
- To compress a gas that has expanded, external force must be applied.

1. Second Law of Thermodynamics

Cont...

Differences between the First and the Second Laws of Thermodynamics

- The first law of thermodynamics does not specify the direction of energy transfer.
- It only asserts that energy cannot be created or destroyed, merely transformed from one form to another.
- However,
- **The Second Law** is about energy efficiency, heat energy naturally spreads out, making some processes irreversible due to entropy increase.

1. Second Law of Thermodynamics

Cont...

The core differences are:

Aspect	First Law	Second Law
Focus	Energy conservation	Entropy and direction of processes
Limitation	Doesn't restrict process direction	Defines permissible process directions
Mathematical Form	$\Delta U = Q - W$	$\Delta S \geq 0$ (for isolated systems)
Practical Implication	Energy accounting (e.g., engines)	Efficiency limits (e.g., heat engines)

2. Thermal Energy Reservoirs

- A **thermal energy reservoir** is a hypothetical or real body with a very large thermal capacity that can supply or absorb finite amounts of heat without changing temperature [2].
- A thermal energy reservoir acts as a source/sink in thermodynamic cycles (e.g., heat engines, refrigerators).
 - **Source (High-Temperature Reservoir):**
 - Supplies heat energy (e.g., the sun, furnace, nuclear reactor).
 - **Sink (Low-Temperature Reservoir):**
 - Absorbs waste heat (e.g., atmosphere, oceans, cooling water).

2. Thermal Energy Reservoirs

Cont...

- **Thermal reservoirs** are idealized but essential for thermodynamic cycles.
- They dictate efficiency limits (**Carnot efficiency**).
- Applications of thermal energy reservoirs are in:
 - Power plants (steam turbines)
 - Refrigeration and heat pumps
 - Renewable energy systems (solar and geothermal energy)

2. Thermal Energy Reservoirs

Cont...

Heat and Work Reservoirs

- Heat and work reservoirs are essential concepts in thermodynamics, particularly in understanding energy transfer.

1. Heat Reservoir

- A **heat reservoir** is a large system that can **absorb** or **release** heat without undergoing a significant change in temperature.
- It can exchange heat without noticeable temperature fluctuations.
- It serves as a **source** or **sink** for thermal energy.
- A **source** in a thermal energy reservoir is a system that **supplies** heat energy to another system

2. Thermal Energy Reservoirs

Cont...

- A thermal **energy source** plays a vital role in processes like power generation, heating, and refrigeration.

Examples of thermal energy sources:

- Boilers
- Furnaces
- Sun
- Geothermal sources

2. Thermal Energy Reservoirs

Cont...

- A **sink** in a thermal energy reservoir is a system that **absorbs** heat energy from another system.
- It has a large thermal capacity, meaning it can take in heat without undergoing a significant change in temperature.
- A sink plays a crucial role in processes like cooling systems, heat dissipation, and maintaining thermal equilibrium in nature.

Examples of thermal energy sink:

- Oceans & Large Water Bodies
- Atmosphere
- Cooling Towers
- Radiators

2. Work Reservoir

- A **work reservoir** is a system capable of performing or receiving work without significant change in its condition.
- In other words, a work reservoir is a large system in stable equilibrium that can **supply** or **absorb** finite amounts of work **adiabatically** without undergoing any change in its properties (e.g., pressure, volume).
- Unlike heat reservoirs, work reservoirs do not transfer energy via heat but through mechanical, electrical, or other work interactions.

2. Thermal Energy Reservoirs

Cont...

- **Work reservoirs** provide a steady source of work for engines, machines, and electrical systems.

Examples:

- A battery supplying electrical work,
- A hydraulic pump exerting mechanical work.
- Fly wheels
- Piston engines

3. Thermodynamic Cycles

- A **Thermodynamic Cycle** is a series of processes that a working fluid undergoes to **convert heat energy into work or vice versa**, returning to its original state after completing the cycle.

$$P_f = P_i, \quad T_f = T_i, \quad u_f = u_i, \quad v_f = v_i \dots$$

- These cycles are fundamental in power generation, refrigeration, and various industrial applications.
- Thermodynamic cycles involve a **working substance** (such as steam, air, or gas).
- They operate in a **closed loop**, where the fluid returns to its initial state.

3. Thermodynamic Cycles

Cont...

- Thermodynamic cycles uses **heat transfer** and **work interactions** to drive the cycle.
- They are governed by the laws of thermodynamics, particularly the **First** and **Second Laws**.
- In thermodynamics, there are two types of cycles:
 1. **Power Cycles**
 2. **Refrigeration Cycles**

1. Power Cycles

- Convert **thermal energy** into **mechanical work**.

Examples:

- **Carnot Cycle** – Theoretical maximum efficiency cycle.
- **Rankine Cycle** – Used in steam power plants.
- **Brayton Cycle** – Found in gas turbines and jet engines.
- **Otto Cycle** – Used in internal combustion engines (e.g., gasoline engines).
- **Diesel Cycle** – Used in diesel engines.

2. Refrigeration Cycles

- Transfer heat from **lower-temperature** regions to **higher-temperature** regions using work input.

Examples:

- **Carnot Refrigeration Cycle** – Theoretical cooling system.
- **Vapor Compression Refrigeration Cycle** – Used in refrigerators and air conditioners.
- **Absorption Refrigeration Cycle** – Used in industrial cooling systems.

4. Heat Engines

- Work can be readily transformed into various forms of energy, but converting other types of energy into work is significantly more challenging.

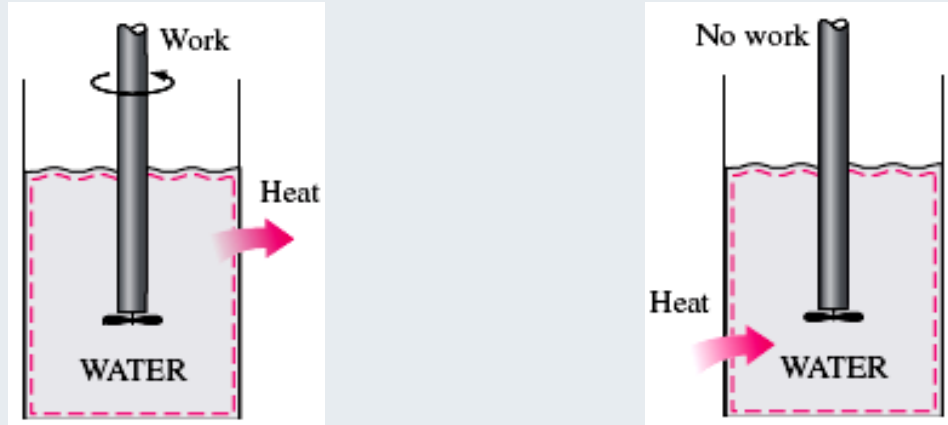


Figure 1: Work and Heat Energy

[url: https://slideplayer.com/slide/5370522/17/images/2/5-1+Work+Always+Converts+Directly+and+Completely+to+Heat,+But+not+the+Reverse..jpg](https://slideplayer.com/slide/5370522/17/images/2/5-1+Work+Always+Converts+Directly+and+Completely+to+Heat,+But+not+the+Reverse..jpg)

- While work can be entirely and directly converted into heat, the reverse process, transforming heat into work, necessitates the use of some specialized devices. These devices are called **heat engines**.

4. Heat Engines

Cont...

- A **heat engine** is a device that converts **heat energy** into **mechanical work** by exploiting temperature differences between a **heat source** and a **heat sink**.
- A heat engine is a thermodynamic system operating in a thermodynamic cycle to which net heat is transferred and from which net work is delivered [3].
- It operates in a **cyclic process**, absorbing heat from a high-temperature reservoir, converting part of it into work, and releasing the remaining energy to a low-temperature reservoir.
- The working fluid within the system undergoes a sequence of thermodynamic processes that collectively form the heat engine cycle.

4. Heat Engines

Cont...

The components of heat engine are:

- Heat source
- Working substance
- Mechanism for work output
- Heat sink

Examples:

- Steam Turbines
- Internal Combustion Engines
- Gas Turbines

4. Heat Engines

Cont...

Types of Heat Engines

- Heat engines can be broadly categorized into two main types:
 - External Combustion Engines
 - Internal Combustion Engines
- These engines operate on different principles but serve the same fundamental purpose, converting **thermal energy** into **mechanical work**.

4. Heat Engines

Cont...

1. External Combustion Engines

- In these engines, fuel combustion occurs externally, heating a working fluid such as steam or air, which then drives the engine.
 - **Steam Engine:** Uses steam to produce motion; historically used in locomotives and early industrial machinery.
 - **Steam Turbine:** Commonly used in power plants; steam spins a turbine to generate electricity.
 - **Stirling Engine:** Uses cyclic compression and expansion of gas to generate power; known for high efficiency and low emissions.

4. Heat Engines

Cont...

2. Internal Combustion Engines

- Internal combustion engines burn fuel directly inside the engine cylinder, generating power more efficiently and compactly.

Reciprocating Engines:

- **Otto Cycle (Gasoline Engine):** Used in cars and motorcycles, operates using spark ignition.
- **Diesel Engine:** Higher efficiency than gasoline engines; used in trucks, ships, and generators.
- **Dual-Fuel Engine:** Uses both gasoline and diesel for improved efficiency.

4. Heat Engines

Cont...

2. Internal Combustion Engines

Rotary Engines:

- **Wankel Engine:** Compact and smooth operation, used in some sports cars.
- **Gas Turbine Engine:** Used in jet aircraft and some power plants, operates at high speeds.

- Internal combustion engines are lightweight and compact design, has higher power-to-weight ratio and faster response time [4], however,
- They have more complex cooling and lubrication systems required and produces more emissions compared to external combustion engines.

4. Heat Engines

Cont...

3. Other Advanced Heat Engines

- **Jet Engines:** Used in aviation; generates thrust by expelling hot gases at high speed.
- **Rocket Engines:** Operate in space; expel gases to produce thrust based on Newton's Third Law.
- **Closed-Cycle Gas Turbines:** Used for specialized high-efficiency applications.

4. Heat Engines

Cont...

Application Areas of Heat Engines

1. Power Generation

- Steam turbines (coal, nuclear, geothermal).
- Gas turbines (peaking power plants).

2. Transportation

- Automotive: ICE (cars, trucks).
- Aerospace: Jet engines, rocket propulsion.
- Marine: Diesel engines, steam turbines (ships).

3. Industrial & Niche Uses

- Stirling engines: Solar dishes, space probes
- Micro-CHP: Combined heat and power for homes.

4. Heat Engines

Cont...

- While heat engines vary significantly in design and application, they all share the following four fundamental characteristics:
 1. **Heat Input:** They absorb thermal energy from a high-temperature source (e.g., solar radiation, fossil fuel combustion, or nuclear reactions).
 2. **Work Output:** They convert a portion of this heat into useful mechanical work (typically manifested as shaft rotation).
 3. **Heat Rejection:** They discharge the unconverted waste heat to a low-temperature sink (such as ambient air or bodies of water).
 4. **Cyclic Operation:** They function through a continuous thermodynamic cycle, enabling repeated energy conversion.

4. Heat Engines

Cont...

Heat Engines

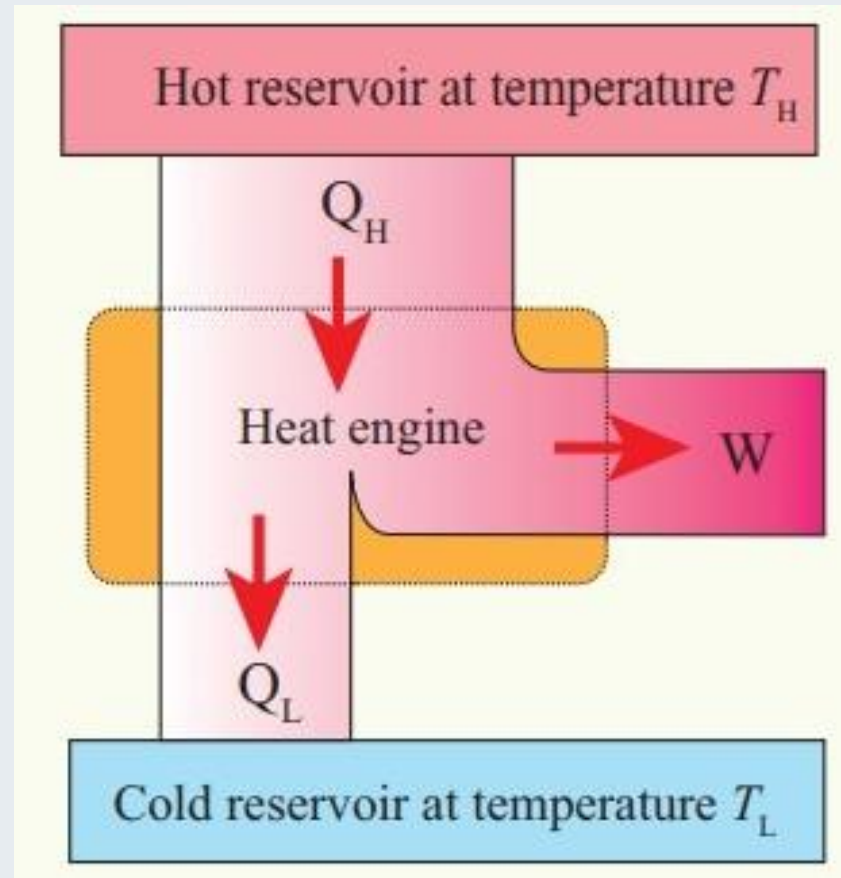


Figure 1: Heat Engine
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4. Heat Engines

Cont...

- Among work-producing devices, the **steam power plant** most closely exemplifies the fundamental principles of a heat engine.

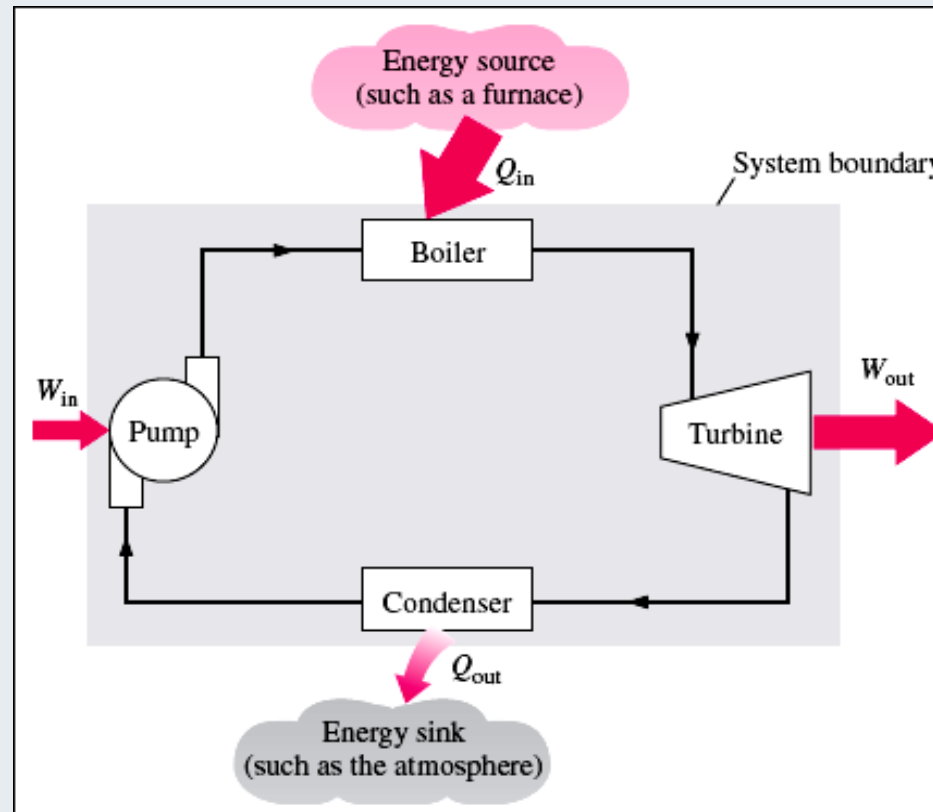
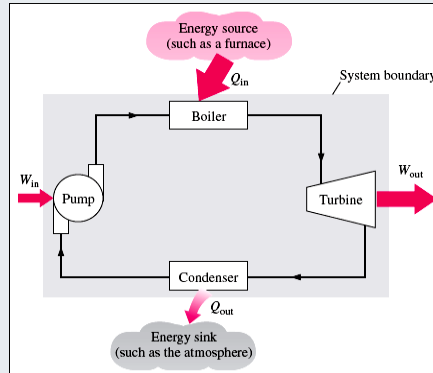


Figure 3: Steam Power Plant

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4. Heat Engines

Cont...



Q_{in} = amount of heat supplied to steam in boiler from a high-temperature source (furnace).

Q_{out} = amount of heat rejected from steam in condenser to a low-temperature sink (the atmosphere, a river, etc.)

W_{out} = amount of work delivered by steam as it expands in turbine

W_{in} = amount of work required to compress water to boiler pressure

4. Heat Engines

Cont...

- Using the first law of thermodynamics for the system:

$$Q_{net,in} - W_{net,out} = \Delta U \overset{0 \text{ (Cyclic)}}{\rightarrow}$$

$$W_{net,out} = Q_{net,in}$$

$$W_{net,out} = Q_{in} - Q_{out}$$

$$W_{net,out} = Q_H - Q_L$$

5. Efficiency and Performance of Heat Engines

- To compare the output with the input, we define the **Thermal Efficiency** of the cycle.
- Thermal efficiency measures the **performance** of a work-producing device or heat engine.
- It is defined as the ratio of net work output (the useful result) to heat input (the energy required to achieve it)

$$\text{Thermal Efficiency} = \frac{\text{Desired Result}}{\text{Required Input}}$$

5. Efficiency and Performance of Heat Engines

Cont...

$$\text{Thermal Efficiency} = \frac{\text{Net Work Output}}{\text{Total Heat Input}}$$

$$\eta_{th} = \frac{W_{net,out}}{Q_{in}} = \frac{Q_{in} - Q_{out}}{Q_{in}}$$

$$\eta_{th} = 1 - \frac{Q_{out}}{Q_{in}}$$

$$\eta_{th} = 1 - \frac{Q_L}{Q_H}$$

- The thermal efficiency is always **less than 1** or **less than 100 percent**.

5. Efficiency and Performance of Heat Engines

Cont...

- In an idealized **reversible expansion** with **negligible friction**, **perfect insulation**, and **quasi-equilibrium** conditions, the total heat transfer (Q) to the system is greater than the work performed (W), as a portion of Q contributes to increasing the internal energy (ΔU) of the gas.
- From this discussion, we conclude that all heat engines inevitably lose some energy by transferring it to a lower-temperature reservoir, as this process is essential for completing the cycle.
- ‘No heat engine can convert all the heat it receives to useful work’ [5].

5. Efficiency and Performance of Heat Engines

Cont...

Example 1:

A steam power plant receives 1800 MJ of heat energy from a high-temperature reservoir and rejects 1100 MJ to the low-temperature sink.

Determine:

- The work output of the plant.
- The thermal efficiency of the steam power plant.

Given:

$$Q_H = 1800 \text{ MJ}$$

$$Q_L = 1100 \text{ MJ}$$

5. Efficiency and Performance of Heat Engines

Cont...

Solution:

From the first law of thermodynamics:

$$W_{out} = Q_H - Q_L$$

$$W_{out} = (1800 - 1100) \text{ MJ}$$

$$W_{out} = \mathbf{700 \text{ MJ}}$$

The thermal efficiency is: $\eta_{th} = \frac{W}{Q_H}$

$$\eta_{th} = \frac{700 \text{ MJ}}{1800 \text{ MJ}} = 0.389$$

$$\eta_{th} = \mathbf{38.9\%}$$

5. Efficiency and Performance of Heat Engines

Cont...

Example 2:

A coal-fired steam power plant has the following operating parameters:

Net power output: 300 MW

Overall thermal efficiency: 32%

Air-fuel ratio: 12 kg air/kg coal

Coal heating value: 28,000 kJ/kg

Determine:

- a. Daily coal consumption (24-hour operation)
- b. Mass flow rate of combustion air

5. Efficiency and Performance of Heat Engines

Cont...

Solution:

$$\dot{Q}_{in} = \frac{W_{net,out}}{\eta_{th}}$$

$$\dot{Q}_{in} = \frac{300 \text{ MW}}{0.32} = 937.5 \text{ MW}$$

And we know that, $Q_{in} = (\dot{Q}_{in} \Delta t) = (937.5 \text{ MJ/s})(24 \times 3600 \text{ s})$

$$Q_{in} = 8.1 \times 10^7 \text{ MJ}$$

The amount and rate of fuel consumed during 24 hours are:

$$m_{coal} = \frac{Q_{in}}{q_{HV}} = \frac{8.1 \times 10^7 \text{ MJ}}{28 \text{ MJ/kg}} = \mathbf{2.893 \times 10^6 \text{ kg}}$$

5. Efficiency and Performance of Heat Engines

Cont...

Therefore,

$$\dot{m}_{coal} = \frac{m_{coal}}{\Delta t} = \frac{2.893 \times 10^6 \text{ kg}}{24 \times 3600 \text{ s}} = 33.48 \text{ kg/s}$$

The air-fuel ratio is 12, the mass flowrate of the combustion air is

$$\dot{m}_{air} = (AF)\dot{m}_{coal} = (12 \text{ kg air/kg fuel})(33.48 \text{ kg/s})$$

$$\dot{m}_{air} = 401.8 \text{ kg/s}$$

Summary

- Second Law of Thermodynamics states that heat naturally flows from hot to cold and that entropy in an isolated system always increases.
- Thermal energy reservoirs are large sources or sinks of heat that maintain a constant temperature while exchanging energy.
- Thermodynamic cycles are repeated processes where systems return to their initial state, enabling continuous energy conversion.
- Heat engines are devices that convert thermal energy into mechanical work using cyclic processes and heat transfer principles.
- The performance of heat engines is evaluated using key metrics that assess efficiency, work output, and operational limits.

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Thank you !