

# Engineering Thermodynamics I

## Lecture 9

### Entropy

**Lecturer:** Dr. Melaku Desta

# ***Lecture learning outcomes:***

At the end of this lecture, you will be able to:

- i. Define entropy as a measure of disorder and explain its significance in thermodynamics.
- ii. Formulate and interpret the Clausius inequality as a fundamental statement of the second law of thermodynamics.
- iii. Analyze entropy changes in reversible and irreversible processes, recognizing their impact on energy efficiency.
- iv. Explain why entropy increases in natural processes and how this principle governs the direction of spontaneous change.
- v. Discuss practical implications of entropy analysis in heat engines, refrigeration, and other thermodynamic systems.
- vi. Relate entropy to the degradation of energy quality, highlighting its role in determining the efficiency of energy conversion systems.

# Content

1. Definition of Entropy
2. Entropy and the Clausius Inequality
3. The Increase of Entropy Principle
4. The T-S Diagram of a Carnot Cycle

Summary

References

# 1. Definition of Entropy

- The second law of thermodynamics establishes the foundation for a fundamental thermodynamic property known as **entropy**, which quantifies the dispersal of energy and the degree of disorder within a system.
- Entropy is a quantitative measure of microscopic disorder for a system [1]. **(It is an abstract property and difficult to give a physical description of it)**
- The more disorganized a system, the higher the entropy.
- Entropy is a function of a quantity of heat which shows the possibility of conversion of heat into work.

# 1. Definition of Entropy

Cont...

## Examples

- When a solid changes into a liquid, its entropy **increases**.
  - This is because the molecules in a solid are tightly packed and have very limited movement, meaning they are in a highly ordered state.
  - Since entropy is a measure of randomness or disorder in a system, the transition from solid to liquid leads to a rise in entropy.
  - This is consistent with the **second law of thermodynamics**, which states that natural processes tend to move toward higher entropy.

# 1. Definition of Entropy

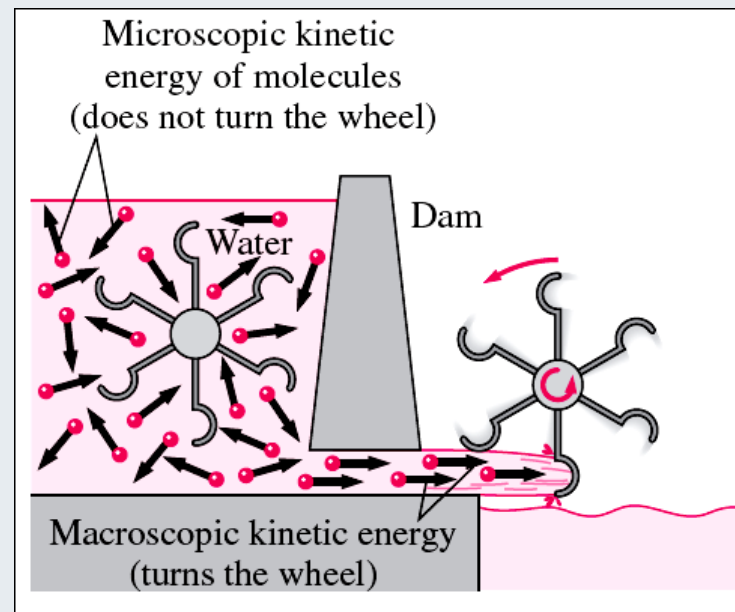
Cont...

- When a liquid transitions into a solid, its **entropy decreases**.
  - This is because the molecules in a liquid move freely and have more randomness, whereas in a solid, the molecules are more tightly arranged in a fixed structure, reducing disorder.
  - Since entropy is a measure of randomness or molecular disorder, the formation of a solid results in a **lower** entropy state.
- When a gas transitions into a liquid, **entropy decreases**.
  - Since **entropy measures molecular disorder**, the shift from a gas to a liquid leads to a more ordered state, reducing entropy.

# 1. Definition of Entropy

Cont...

- Entropy is a measure of energy that is no longer available to perform useful work within the current environment [1].



**Figure 1:** Useful kinetic energy of molecules of water

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# 1. Definition of Entropy

Cont...

- Higher molecular disorder (entropy) **reduces** a system's ability to produce useful work, **increasing the net power input** required to sustain a process.
- Dissipative forces, such as friction, generate entropy by increasing molecular randomness.
- This demonstrates the governing influence of the **Second Law of Thermodynamics**, all real processes are constrained by **entropy generation**, which degrades energy quality and limits efficiency [2].

## 2. Entropy and the Clausius Inequality

- The **Clausius Inequality** is a fundamental principle in thermodynamics that establishes a criterion for the **direction and reversibility** of processes based on entropy [3].
- It is derived from the **Second Law of Thermodynamics** and mathematically formalizes the concept of **irreversibility** in cyclic processes.
- For any thermodynamic **cycle** (closed-loop process), the **Clausius inequality** states:

$$\oint \frac{\delta Q}{T} \leq 0$$

## 2. Entropy and the Clausius Inequality

Cont...

- Clausius realized in 1865 that he had discovered a new thermodynamic property, and he chose to name this property **entropy**.
- It is designated **S** and is defined as

$$dS = \left( \frac{\delta Q}{T} \right)_{Int,rev} \quad (kJ/K)$$

- The **entropy change** of a system during a process can be determined by integrating:

$$\Delta S = S_2 - S_1 = \int_1^2 \left( \frac{\delta Q}{T} \right)_{Int,rev}$$

## 2. Entropy and the Clausius Inequality

Cont...

- Entropy is an extensive property of a system and sometimes is referred to as **total entropy**.
- Entropy per unit mass, designated **s**, is an intensive property and has the unit kJ/kg · K.

$$s = \frac{S}{m} \quad (\text{kJ}/\text{kg K})$$

$$\bar{s} = \frac{S}{n} \quad (\text{kJ}/\text{Kmol K})$$

## 2. Entropy and the Clausius Inequality

Cont...

- The Clausius inequality,

$$\oint \frac{\delta Q}{T} \leq 0$$

- This inequality is valid for all cycles, reversible and irreversible.
- Consider **a reversible Carnot cycle**

$$\oint \frac{\delta Q}{T} = \frac{Q_H}{T_H} - \frac{Q_L}{T_L}$$

- From **Carnot efficiency**,

$$\eta_{th} = 1 - \frac{Q_L}{Q_H} \quad \eta_{th} = 1 - \frac{T_L}{T_H}$$

## 2. Entropy and the Clausius Inequality

Cont...

- From **Carnot principle**,

$$\frac{Q_H}{Q_L} = \frac{T_H}{T_L}$$

- Therefore,  $\oint \frac{\delta Q}{T} = \frac{Q_H}{T_H} - \frac{Q_L}{T_L} = 0$

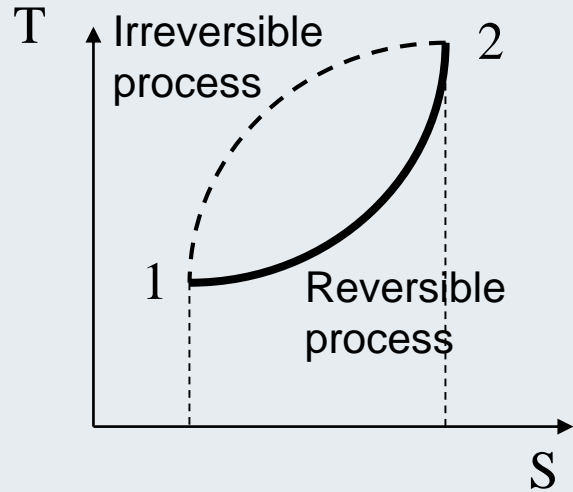
$$\oint \frac{\delta Q}{T} = 0 \qquad \oint \left( \frac{\delta Q}{T} \right)_{rev} = 0$$

- Define a thermodynamic property entropy (S), such that

$$dS = \left. \frac{\delta Q}{T} \right|_{rev}$$

## 2. Entropy and the Clausius Inequality

Cont...



$$W_{Irr} < W_{Rev}$$

$$Q_H - Q_{L,Irr} < Q_H - Q_{L,Rev}$$

$$Q_{L,Irr} > Q_{L,Rev}$$

$$\left(\frac{\delta Q}{T}\right)_{Irr} < \left(\frac{\delta Q}{T}\right)_{Rev}$$

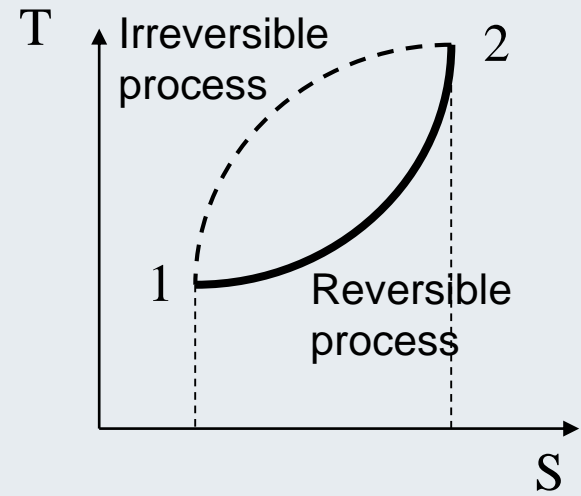
$$\left(\frac{Q_L}{T_L}\right)_{Rev} < \left(\frac{Q_L}{T_L}\right)_{Irr}$$

$$\oint \frac{\delta Q}{T} < 0, Irreversible$$

## 2. Entropy and the Clausius Inequality

Cont...

$$\oint \frac{\delta Q}{T} = \int_1^2 \left( \frac{\delta Q}{T} \right)_{Irev} + \int_2^1 \left( \frac{\delta Q}{T} \right)_{rev} \leq 0$$



From entropy definition

$$dS = \left( \frac{\delta Q}{T} \right)_{rev}$$

$$\oint dS = 0 = \oint \left( \frac{\delta Q}{T} \right)_{rev} = \int_1^2 \left( \frac{\delta Q}{T} \right)_{rev} + \int_2^1 \left( \frac{\delta Q}{T} \right)_{rev}$$

Therefore,

$$\int_1^2 \left( \frac{\delta Q}{T} \right)_{Irev} \leq \int_1^2 \left( \frac{\delta Q}{T} \right)_{rev} = \int_1^2 dS = S_2 - S_1 = \Delta S$$

# 2. Entropy and the Clausius Inequality

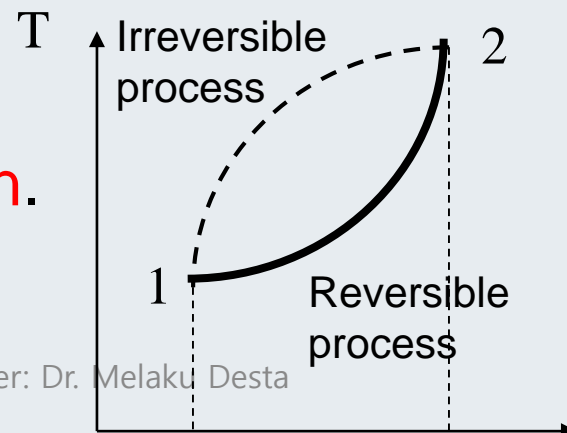
Cont...

- For any reversible process,

$$\int_1^2 dS = \int_1^2 \frac{\delta Q}{T} \Big|_{rev} = S_2 - S_1$$

- The change of entropy can be defined based on a **reversible process**.
- Since entropy is a thermodynamic property, it has **fixed values** at a fixed thermodynamic states.
- The entropy change between two specified states is the same no matter what path, reversible or irreversible.

It's because entropy is a **state function**.



## 2. Entropy and the Clausius Inequality

Cont...

- The change in entropy can be:

$$\Delta S = S_2 - S_1 \geq \int_1^2 \left( \frac{\delta Q}{T} \right)$$

- This is valid for all processes.

$$dS \geq \frac{\delta Q}{T}$$

- Since  $dS = \left( \frac{\delta Q}{T} \right)_{rev}$

$$dS > \left( \frac{\delta Q}{T} \right)_{irrev}$$

## 2. Entropy and the Clausius Inequality

Cont...

- To summarize:
  - During an **irreversible process**, the entropy change exceeds the integral of  $\frac{\delta Q}{T}$  over the process, reflecting additional entropy generation due to inefficiencies.
  - In contrast, for a **reversible process**, the entropy change precisely equals the integral of  $\frac{\delta Q}{T}$ , as no entropy is generated internally.
  - Additionally, for the same entropy change, the heat transfer required in a **reversible process** is always lower than that in an **irreversible** process, emphasizing the efficiency advantage of reversibility in thermodynamic systems.

## 2. Entropy and the Clausius Inequality

Cont...

### Example 1:

A heat engine operates between a hot reservoir at  $T_H = 600K$  and a cold reservoir at  $T_L = 300K$ . It receives  $Q_H = 1000J$  of heat from the hot reservoir and rejects  $Q_L = 600J$  of heat to the cold reservoir.

Determine:

- The entropy change for the hot and cold reservoirs.
- Whether this process satisfies the **Clausius inequality**, confirming if it is reversible or irreversible.

## 2. Entropy and the Clausius Inequality

Cont...

**Solution:**

The entropy change for each reservoir:

$$\Delta S = \frac{Q}{T}$$

For the hot reservoir:  $\Delta S_{hot} = \frac{-Q_H}{T_H} = \frac{-1000J}{600K} = -1.67 J/K$

For the cold reservoir:  $\Delta S_{cold} = \frac{Q_L}{T_L} = \frac{600J}{300K} = 2.00 J/K$

## 2. Entropy and the Clausius Inequality

Cont...

- The total entropy change can be:

$$\Delta S_{total} = \Delta S_H + \Delta S_C$$

$$\Delta S_{total} = (-1.67) + (2.00)$$

$$\Delta S_{total} = 0.33 \text{ J/K}$$

- Since  $\Delta S_{total} > 0$ , the process increases entropy, meaning it is irreversible.
- To check the **Clausius inequality**,
- The Clausius inequality states:

$$\oint \frac{\delta Q}{T} \leq 0$$

## 2. Entropy and the Clausius Inequality

Cont...

- For a reversible cycle,

$$\Delta S_{total} = 0$$

- Since our calculation resulted in a positive entropy change, it confirms that this process is **irreversible** and obeys the **Clausius inequality**.
- Therefore, this heat engine increases entropy and operates irreversibly.
- The Clausius inequality holds, reinforcing that real-world heat engines always produce entropy due to **irreversibilities**.

# 3. The Increase of Entropy Principle

- In real-world systems, most processes involve irreversibilities (such as friction, heat loss, and mixing), leading to **entropy generation** and an overall increase in entropy.
- Only ideal, perfectly reversible processes maintain constant entropy without increasing it.
- Natural processes, like heat transfer from hot to cold or the mixing of gases, result in greater disorder and higher entropy [3].
- As entropy increases, the ability to convert energy into useful work decreases, affecting efficiency in thermodynamic systems.

# 3. The Increase of Entropy Principle

Cont...

- The increase of entropy principle helps explain why **some processes occur spontaneously while others do not** and is crucial in understanding energy efficiency and resource utilization [4].
- From the Clausius inequality,

$$\oint \frac{\delta Q}{T} \leq 0$$

$$\int_1^2 \left( \frac{\delta Q}{T} \right)_{Irr} + \int_1^2 \left( \frac{\delta Q}{T} \right)_{Int.rev} \leq 0$$

### 3. The Increase of Entropy Principle

Cont...

$$\int_1^2 \left( \frac{\delta Q}{T} \right)_{Irr} + \int_1^2 \left( \frac{\delta Q}{T} \right)_{Int.rev} \leq 0$$

$$\int_1^2 \left( \frac{\delta Q}{T} \right) + S_1 - S_2 \leq 0$$

$$S_2 - S_1 \geq \int_1^2 \frac{\delta Q}{T}$$

$$dS \geq \frac{\delta Q}{T}$$

Where:- the **equality** holds for an **internally reversible process** and the **inequality** for an **irreversible process**.

# 3. The Increase of Entropy Principle

Cont...

- The quantity  $S = S_2 - S_1$  represents the **entropy change** of the system.
- For a reversible process, it becomes equal to  $\int_1^2 \left( \frac{\delta Q}{T} \right)$  which represents the **entropy transfer** with heat.
- From preceding relations, entropy change of a closed system during an irreversible process is always **greater than** the entropy transfer.
- That is, some entropy is **generated** or **created** during an irreversible process, and this generation is due entirely to the presence of irreversibilities.

# 3. The Increase of Entropy Principle

Cont...

- The entropy generated during a process is called **entropy generation** and is denoted by  $S_{gen}$ .
- The difference between the **entropy change** of a closed system and the **entropy transfer** is equal to **entropy generation**, the above equation can be rewritten as an equality as:

$$\Delta S_{sys} = S_2 - S_1 = \int_1^2 \frac{\delta Q}{T} + S_{gen}$$

- In the absence of any entropy transfer, the entropy change of a system is equal to the **entropy generation**.

### 3. The Increase of Entropy Principle

Cont...

$$\Delta S_{sys} = S_2 - S_1 \geq \int_1^2 \frac{\delta Q}{T}$$

$$\Delta S_{sys} = S_2 - S_1$$

$$\Delta S_{sys} = \int_1^2 \frac{\delta Q}{T} + S_{gen} \geq \int_1^2 \frac{\delta Q}{T}$$

Where  $S_{gen} \geq 0$ .

- The entropy will still increase or stay the same but never decrease.

$$\Delta S_{sys} = S_{gen} \geq 0 \quad \text{Entropy increase principle}$$

- A process can occur only in the direction that aligns with the **increase of entropy principle**, ensuring that the overall disorder of an isolated system **never decreases** [5].

# 3. The Increase of Entropy Principle

Cont...

- For an isolated system (or simply an **adiabatic** closed system), the heat transfer is **zero**, and the above equation reduces to:

$$\Delta S_{Isolated} \geq 0$$

- This equation can be expressed as the entropy of an isolated system during a process always **increases** or, in the limiting case of a reversible process, remains constant.
- In other words, it **never decreases**. This is known as the **increase of entropy principle**.

# 3. The Increase of Entropy Principle

Cont...

- The increase of entropy principle can be summarized:

$$S_{\text{gen}} \begin{cases} > 0 & \text{Irreversible process} \\ = 0 & \text{Reversible process} \\ < 0 & \text{Impossible process} \end{cases}$$

It can be concluded as:

- Entropy is **non-conservative** since it is always increasing.
- The entropy of the universe is continuously increasing, in other words, it is more disorganized and is approaching chaotic.
- The entropy generation is due to the existence of **irreversibilities.**

# 3. The Increase of Entropy Principle

Cont...

- Therefore, the **higher** the entropy generation the **higher** the irreversibilities and, accordingly, the **lower** the efficiency of a device since a reversible system is the most efficient system.
- To summarize the implications of the increase of entropy generation and the Clausius inequality,
  - **For Reversible Processes:**  
No entropy is generated.  
**Example:** Carnot cycle.
  - **For Irreversible Processes:**  
Entropy is generated ( $S_{gen} > 0$ ).  
**Example:** Real engines with friction.

# 3. The Increase of Entropy Principle

Cont...

## Example 1:

A heat engine operates between a source at 800 K and a sink at 300 K. During one cycle, it absorbs 1000 kJ of heat from the source and rejects 600 kJ of heat to the sink.

### Determine:

- The entropy change of the source, sink, and total.
- The entropy generated during the cycle.
- The efficiency of the engine and compare it to the Carnot efficiency.

### 3. The Increase of Entropy Principle

Cont...

**Solution:**

**Entropy Change of Source:** (Loses 1000 kJ of heat, entropy decreases)

$$T_H = 800K$$

$$\Delta S_H = \frac{-Q_H}{T_H} = \frac{-1000 \text{ kJ}}{800 \text{ K}} = -1.25 \text{ kJ/K}$$

**Entropy Change of Sink:** (Gains 600 kJ of heat, entropy increases)

$$T_L = 300K$$

$$\Delta S_L = \frac{+Q_L}{T_L} = \frac{600 \text{ kJ}}{300 \text{ K}} = +2.0 \text{ kJ/K}$$

# 3. The Increase of Entropy Principle

Cont...

- The total entropy change is the sum of the entropy changes of the reservoirs:

$$\Delta S_{total} = \Delta S_H + \Delta S_L$$

$$\Delta S_{total} = -1.25 + 2.0 = +0.75 \text{ kJ/K}$$

Since  $\Delta S_{total} > 0$ , the process is irreversible (As expected for real engines)

- The entropy generation ( $S_{gen}$ )

$$S_{gen} = \Delta S_{total} = 0.75 \text{ kJ/K}$$

- Entropy generation quantifies irreversibilities  
(friction or heat leakage)

### 3. The Increase of Entropy Principle

Cont...

- The efficiency of the engine:

Actual efficiency: 
$$\eta = 1 - \frac{Q_L}{Q_H} = 1 - \frac{600}{1000} = 0.4 \text{ (40\%)}$$

Carnot efficiency: 
$$\eta_{rev} = 1 - \frac{T_L}{T_H} = 1 - \frac{300}{800} = 0.625 \text{ (62.5\%)}$$

- Therefore, the actual efficiency is lower than the Carnot limit due to entropy generation ( $S_{gen} = 0.75 \text{ kJ/K}$ )

### 3. The Increase of Entropy Principle

Cont...

#### Example 2:

Show that the heat can not transfer from the low-temperature sink at 500K to the high-temperature source at 1000K based on the increase of entropy principle.

Assume 2000 kJ of heat is to be transferred from the sink to the source.

#### Solution:

$$\Delta S_{source} = \frac{2000}{800} = 2.5 \text{ kJ/K}$$

$$\Delta S_{sink} = \frac{-2000}{500} = -4 \text{ kJ/K}$$

$$S_{gen} = \Delta S_{source} + \Delta S_{sink} = -1.5 \text{ kJ/K}$$

### 3. The Increase of Entropy Principle

Cont...

- Therefore, since the  $S_{gen} < 0$ , it is impossible based on the entropy increase principle, i.e.  $S_{gen} \geq 0$ , therefore, the heat can not transfer from low temperature to high temperature without external work input.
- However, if the process is reversed, 2000kJ of heat is transferred from the source to the sink,  $S_{gen} = 1.5 \text{ kJ/K} > 0$ , and the process can occur according to the second law of thermodynamics.

### 3. The Increase of Entropy Principle

Cont...

- If the sink temperature is increased to **700 K**, how about the entropy generation?

$$\Delta S_{source} = \frac{2000}{800} = 2.5 \text{ kJ/K}$$

$$\Delta S_{sink} = \frac{-2000}{700} = -2.86 \text{ kJ/K}$$

$$S_{gen} = \Delta S_{source} + \Delta S_{sink} = -0.36 \text{ kJ/K}$$

$$0.36 \text{ kJ/K} < 1.5 \text{ kJ/K}$$

- Entropy generation is less than when the sink temperature is **500 K**, less irreversibility.
- Heat transfer between objects having large temperature difference generates higher degree of irreversibilities.

# 4. The T-S Diagram of the Carnot Cycle

- **T-S diagrams** visualize heat transfer and entropy changes.
- Recall that a **Carnot Cycle** is based on an idealized heat engine with maximum possible efficiency.

Four Reversible Processes:

**Process 1-2: Reversible Isothermal Expansion** (Heat addition at  $T_H$ ).

**Process 2-3: Reversible Adiabatic Expansion** (No heat transfer, temperature drops).

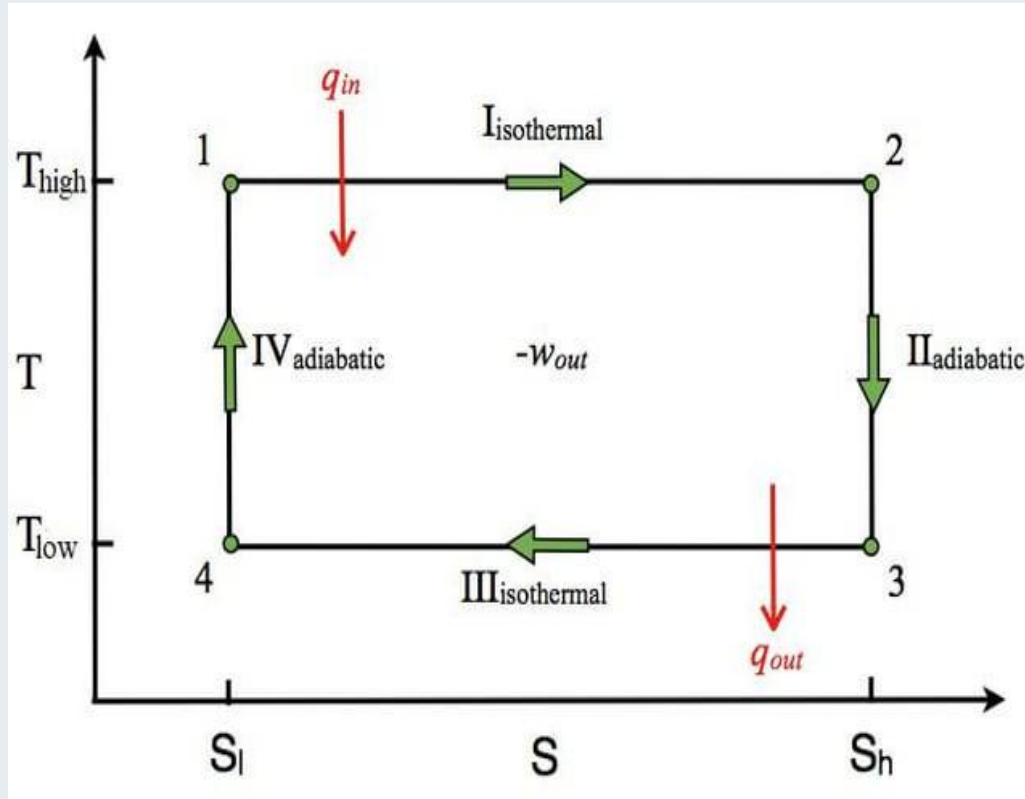
**Process 3-4: Reversible Isothermal Compression**  
(Heat rejection at  $T_L$ ).

**Process 4-1: Reversible Adiabatic Compression**  
(Temperature rises back to  $T_H$ ).

# 4. The T-S Diagram of the Carnot Cycle

Cont...

## Process 4-1 (Reversible Adiabatic)



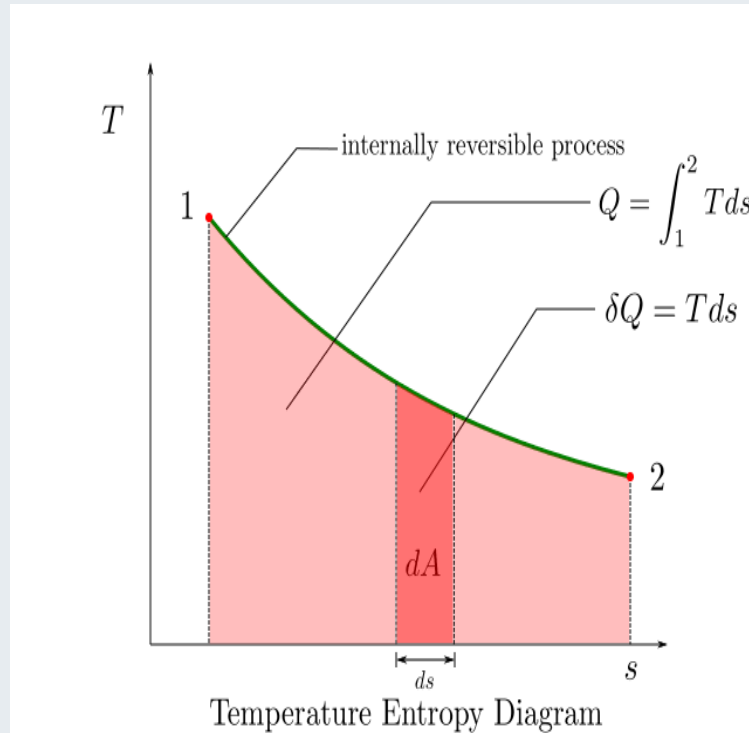
**Figure 2:** T-S Diagram of a Carnot Cycle

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# 4. The T-S Diagram of the Carnot Cycle

Cont...

The T-s diagram of internally reversible process



**Figure 3:** T-S Diagram of a Carnot Cycle

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# Summary

- Entropy is a measure of the disorder or randomness in a system, closely linked to the second law of thermodynamics. It quantifies the amount of energy unavailable for useful work.
- The Clausius inequality states that for a cyclic process, the sum of heat transfer divided by the temperature at which it is absorbed is always less than or equal to zero, reinforcing the irreversibility of natural processes.
- Entropy always increases in an isolated system, meaning that natural processes tend to move towards greater disorder, making perfect efficiency impossible in real-world applications.
- The Carnot cycle, when plotted on a Temperature-Entropy (T-S) diagram, visually represents the reversible heat exchange process, with isothermal and adiabatic segments showing ideal energy

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**Thank you !**